

Unsolvable Problems

Part One

Outline for Today

- ***Self-Reference Revisited***
 - Programs that compute on themselves.
- ***Self-Defeating Objects***
 - Objects “too powerful” to exist.
- ***The Fortune Teller***
 - Can you escape the future?
- ***Why Do Programs Loop?***
 - ... and can we eliminate loops?
- ***Undecidable Problems***
 - Something beyond the reach of algorithms.

Recap from Last Time

R and RE

- A language L is **recognizable** if there is a TM M with the following property:

$$\forall w \in \Sigma^*. (M \text{ accepts } w \leftrightarrow w \in L).$$

- That is, for any string w :
 - If $w \in L$, then M accepts w .
 - If $w \notin L$, then M does not accept w .
 - It might reject w , or it might loop on w .
- This is a “weak” notion of solving a problem.
- The class **RE** consists of all the recognizable languages.

R and RE

- A language L is **decidable** if there is a TM M with the following properties:

$\forall w \in \Sigma^*. (M \text{ accepts } w \leftrightarrow w \in L).$

M halts on all inputs.

- That is, for any string w :
 - If $w \in L$, then M accepts w .
 - If $w \notin L$, then M rejects w .
- This is a “strong” notion of solving a problem.
- The class **R** consists of all the decidable languages.

The Universal TM

- The *universal Turing machine*, denoted U_{TM} , is a TM with the following behavior: when run on a string $\langle M, w \rangle$, where M is a TM and w is a string, U_{TM} will
 - ... accept $\langle M, w \rangle$ if M accepts w ,
 - ... reject $\langle M, w \rangle$ if M rejects w , and
 - ... loop on $\langle M, w \rangle$ if M loops on w .
- A_{TM} is the language recognized by the universal TM. This is the language
$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

New Stuff!

Part One: Self-Defeating Objects

A ***self-defeating object*** is an object whose essential properties ensure it doesn't exist.

Question: Why is there no largest integer?

Answer: Because if n is the largest integer, what happens when we look at $n+1$?

Self-Defeating Objects

Theorem: There is no largest integer.

Proof sketch: Suppose for the sake of contradiction that there is a largest integer. Call that integer n .

Consider the integer $n+1$.

Notice that $n < n+1$.

But then n isn't the largest integer.

Contradiction! ■-ish

Self-Defeating Objects

Theorem: There is no largest integer.

Proof sketch: Suppose for the sake of contradiction that there is a largest integer. Call that integer n .

Consider the integer $n+1$.

Notice that $n < n+1$.

But then n isn't the largest integer.

Contradiction! ■-ish

We're using n to construct something that undermines n , hence the term "self-defeating."

An Important Detail

Careful – we're assuming
what we're trying to prove!

Claim: There is a largest integer.

Proof: Assume x is the largest integer. }

Notice that $x > x - 1$.

So there's no contradiction. ■-ish }

How do we know there's no
contradiction? We just checked one
case.

Self-Defeating Objects

- If you can show

$$x \text{ exists} \rightarrow \perp$$

then you know that x doesn't exist. (This is a proof by contradiction.)

- If you can show

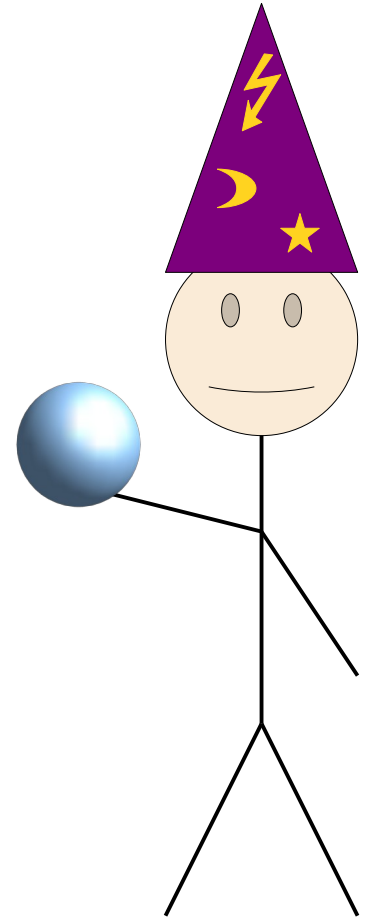
$$x \text{ exists} \rightarrow \top$$

you cannot conclude that x exists. (This is not a valid proof technique.)

Part Two: The Fortune Teller

The Fortune Teller

- A fortune teller appears who claims they can see into anyone's future.
- For a nominal fee, the fortune teller will tell you anything you want to know about the future.



The Fortune Teller

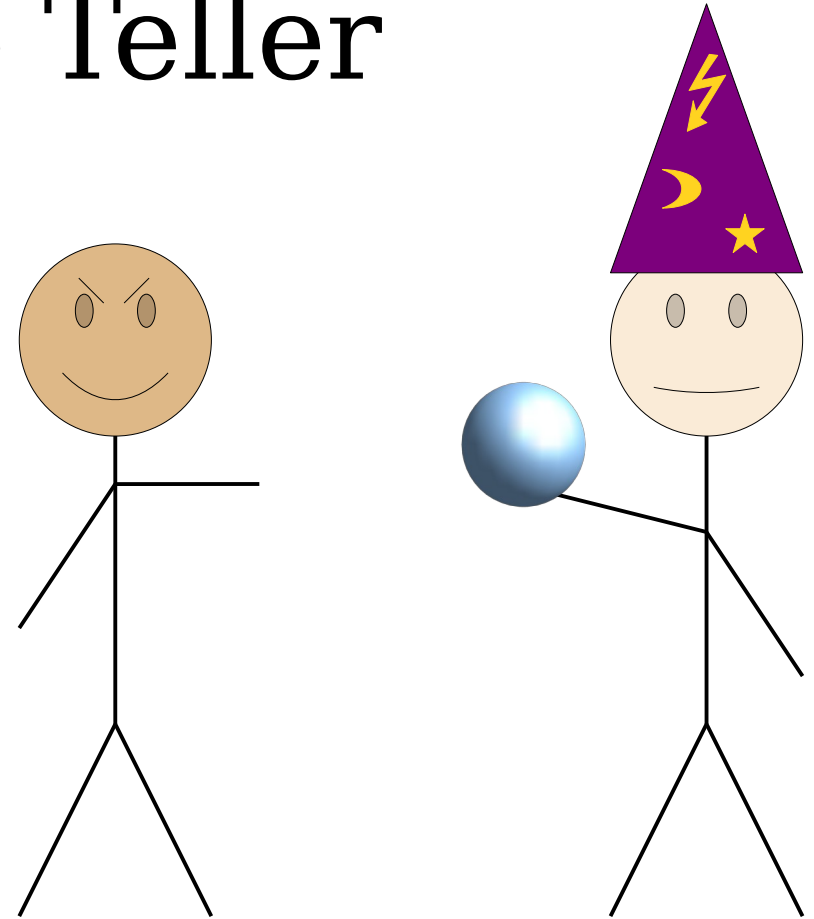
- One day, a trickster arrives. The trickster thinks the fortune teller is lying and can't really see the future.
- The trickster says the following:

“I have a yes/no question about the future. But before I ask my question, let's talk payment.”

If you answer yes, then I'll pay you \$137.

If you answer no, then I'll pay you \$42.

- The fortune teller thinks for a moment, then agrees.



Trickster pays \$137 if the fortune teller answers “yes.”

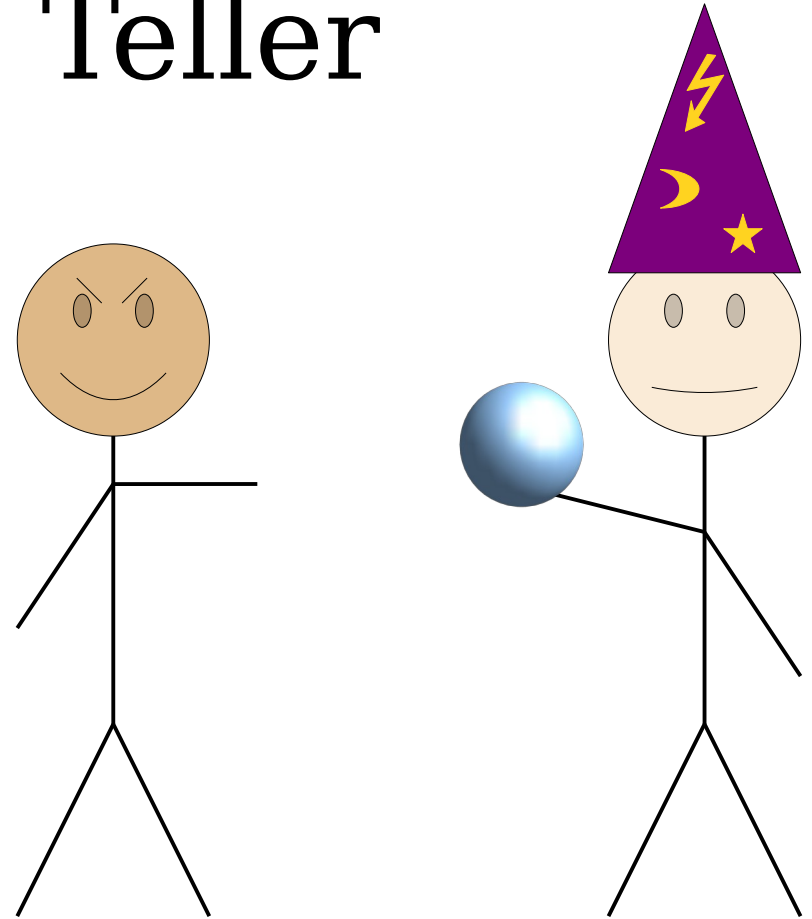
Trickster pays \$42 if the fortune teller answers “no.”

The Fortune Teller

- The trickster then asks this question:

“Am I going to pay you \$42?”

- The fortune teller is trapped!
- Why is that?



Trickster pays \$137 if the fortune teller answers “yes.”

Trickster pays \$42 if the fortune teller answers “no.”

The Fortune Teller

- The payment scheme the fortune teller agreed to means

Fortune Teller Says Yes $\leftrightarrow$ *Trickster Pays \$137.*

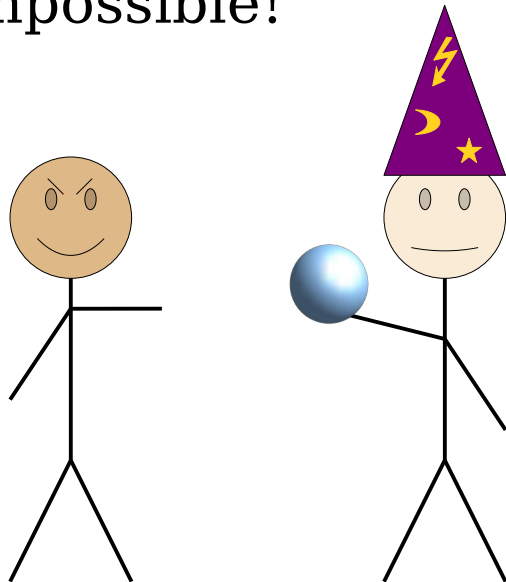
- The trickster's question to the fortune teller means

Fortune Teller Says Yes $\leftrightarrow$ *Trickster Pays \$42.*

- Putting this together, we get

Trickster Pays \$42 $\leftrightarrow$ *Trickster Pays \$137.*

- This is impossible!

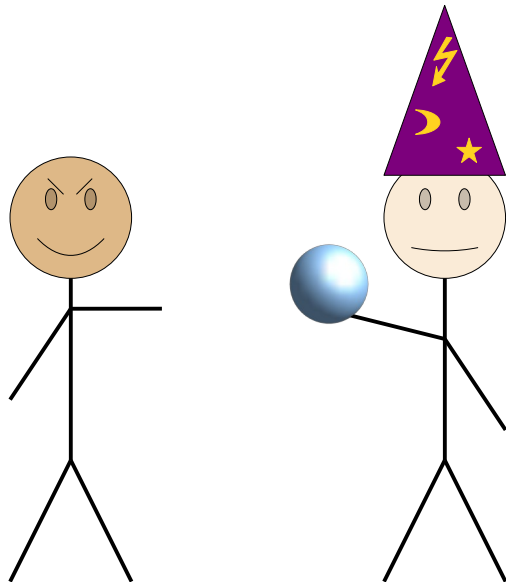


Trickster pays \$137 if the fortune teller answers “yes.”

Trickster pays \$42 if the fortune teller answers “no.”

The Fortune Teller

- The fortune teller is a self-defeating object.
- The trickster's strategy is to couple the fortune teller's behavior to what the future holds.
 - The trickster's behavior is chosen in advance to make the fortune teller's answer wrong.
- Therefore, the fortune teller can't answer all questions about all people in the future.



Trickster pays \$137 if the fortune teller answers “yes.”

Trickster pays \$42 if the fortune teller answers “no.”

Part Three: Why Do Programs Loop?

Thoughts on Loops

- In practice, the programs we write sometimes go into infinite loops.
- In Theoryland, Turing machines are allowed to loop. This happens if they don't accept and don't reject.
- **Question:** Why are infinite loops possible?
- Or rather: are infinite loops an inherent part of computation, or are they some weird sort of “accident” in how we program computers?

Thoughts on Loops

- **Theorem:** The language A_{TM} is recognizable, but undecidable.
 - There's a *recognizer* for A_{TM} (specifically, the universal Turing machine U_{TM}).
 - It is impossible to build a *decider* for this language.
- Stated differently, there's a program we can write (a universal TM) that *has* to loop infinitely on some inputs.
- **Goal:** Prove this theorem, and explore its theoretical and philosophical implications.

A_{TM} Revisited

- As a refresher, the language A_{TM} is

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

- The universal TM U_{TM} has the following behavior when given as input a TM M and a string w :
 - If M accepts w , then U_{TM} accepts $\langle M, w \rangle$.
 - If M rejects w , then U_{TM} rejects $\langle M, w \rangle$.
 - If M loops on w , then U_{TM} loops on $\langle M, w \rangle$.
- U_{TM} is a recognizer for A_{TM} , but because of that last case it's not a decider for A_{TM} .

A_{TM} Revisited

- As a refresher, the language A_{TM} is

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

- Given a TM M and a string w , a decider D for A_{TM} would need to have this behavior:
 - If M accepts w , then D ? $\langle M, w \rangle$.
 - If M rejects w , then D ? $\langle M, w \rangle$.
 - If M loops on w , then D ? $\langle M, w \rangle$.
- This is basically the same set of requirements as U_{TM} , except for what happens if M loops on w .
- Our goal is to prove that there is no way to build a program that meets these requirements.

A_{TM} Revisited

- We can envision a decider for A_{TM} as a function
`bool willAccept(string fn, string input)`
that takes as input the source code of a function (fn)
and a string representing an input to that function
(input).
- It then does the following:
 - If `fn(input)` returns true, `willAccept(fn, input)` returns true.
 - If `fn(input)` returns false, `willAccept(fn, input)` returns false.
 - If `fn(input)` loops, then `willAccept(fn, input)` returns false.
- We're going to show it's impossible to write a function that actually does this. But for now, let's just explore what such a decider would do.

```
function = "bool f(string input) {  
    if (input == "") return false;  
    return input[0] == 'a';  
}";
```

input = "abbababba";

willAccept(function, input) = ?

```
function = "bool g(string input) {  
    while (true) {  
        input += input;  
    }  
}";
```

input = "yay! ";

willAccept(function, input) = ?

```
function = "bool h(string input) {  
    for (char c: input) {  
        if (c != input[0]) return true;  
    }  
    return false;  
}";
```

input = "aaaaaa";

willAccept(function, input) = ?

```
function = "bool j(string input) {  
    int n = input.length();  
    while (n > 1) {  
        if (n % 2 == 0) n /= 2;  
        else n = 3*n + 1;  
    }  
    return true;  
}";
```

input = /* 10¹³⁷ a's */;

willAccept(function, input) = ?

For each of these instances, what does
willAccept(function, input) return?

Deciding A_{TM}

- Earlier this quarter you explored sums of four squares. Now, let's talk about sums of three cubes.
- Are there integers x , y , and z where...
 - $x^3 + y^3 + z^3 = 10$?
 - $x^3 + y^3 + z^3 = 11$?
 - $x^3 + y^3 + z^3 = 12$?
 - $x^3 + y^3 + z^3 = 13$?

Deciding A_{TM}

- Surprising fact: until 2019, no one knew whether there were integers x , y , and z where

$$x^3 + y^3 + z^3 = 33.$$

- A heavily optimized computer search found this answer:

$$x = 8,866,128,975,287,528$$

$$y = -8,778,405,442,862,239$$

$$z = -2,736,111,468,807,040$$

- As of August 2025, no one knows whether there are integers x , y , and z where

$$x^3 + y^3 + z^3 = 114.$$

Deciding A_{TM}

- Consider the language

$$L = \{ a^n \mid \exists x \in \mathbb{Z}. \exists y \in \mathbb{Z}. \exists z \in \mathbb{Z}. x^3 + y^3 + z^3 = n \}$$

- Here's code for a recognizer to see whether such a triple exists:

```
bool hasTriple(int n) {  
    for (int max = 0; ; max++)  
        for (int x = -max; x <= max; x++)  
            for (int y = -max; y <= max; y++)  
                for (int z = -max; z <= max; z++)  
                    if (x*x*x + y*y*y + z*z*z == n)  
                        return true;  
}
```

- Imagine calling `willAccept(/* hasTriple code */, 114)`.
 - If such a triple exists, `willAccept` returns true.
 - If no such triple exists, `willAccept` returns false.
- Key Intuition:** However `willAccept` is implemented, it has to be clever enough to resolve open problems in mathematics!

Why is A_{TM} Hard?

- **Intuition:** A decider for A_{TM} would be able to...
 - ... determine whether the hailstone sequence terminates for any input. (Write a recognizer that runs the hailstone sequence, then feed it into the decider for A_{TM} .)
 - ... see if any number is the sum of three cubes. (Write a recognizer that tries all infinitely many triples of integers, then feed it into the decider for A_{TM} .)
 - ... and much, much more.
- In other words, this seemingly simple problem of “is this program going to terminate?” accidentally scoops up a bunch of other seemingly harder problems.

Time-Out for Announcements!

Problem Sets

- PS6 was due earlier today. Your diligent CAs are working on grading them! Solution will be released Monday morning.
- PS7 has been released and will be due next **Wednesday** at 5:30 PM. Only the coding portion will be mandatory.

Please evaluate this course in Axess.
Your comments really make a difference.

Back to CS103!

Part Four: Self-Referential Software

Self-Referential Programs

- If TMs can take other TMs as input, could they take themselves as input?

YES.

- TMs can take their own code as input, and ask questions about (or even execute!) their own code.
- In fact, any computing system that's equal in power to a Turing machine possesses some mechanism for self-reference.
- Want to see how deep the rabbit hole goes? Take CS154!

Quines

- A **Quine** is a special kind of self-referential program that, when run, prints its own source code.
- Believe it or not, it is possible to write such a program!
- *See zip file with lecture slides for code.*

Self-Referential Programs

- **Claim:** Going forward, assume that any function has the ability to get access to its own source code.
- This means we can write programs like the one shown here:

```
bool narcissist(string input) {  
    string me = /* source code of narcissist */;  
  
    return input == me;  
}
```

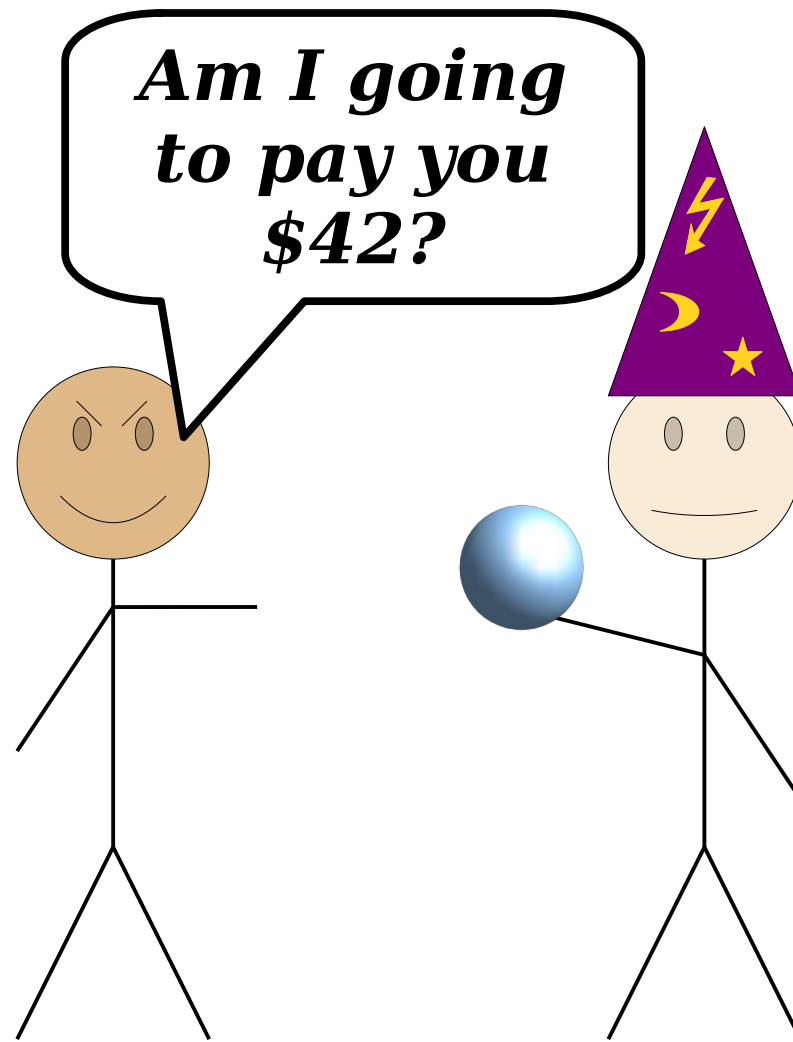
Part Five: Putting It All Together

To Recap

- We're assuming that, somehow, someone wrote a function

bool willAccept(string function, string input);
that takes the code of a function and an input to that function, then

- returns true if function(input) returns true, and
- returns false if function(input) doesn't return true.
- **Goal:** Show that this decider is “self-defeating;” its power is so great that it undermines itself.
- **Idea:** Convert the fortune teller story into a program.

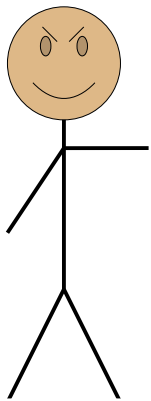


Trickster pays \$137 if the
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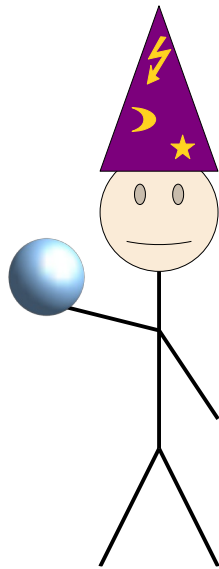
Trickster pays \$42 if the
fortune teller answers “no.”

```
bool willAccept(string function, string input) {  
    // Returns true if function(input) returns  
    // true. Returns false otherwise.  
}
```

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```



trickster



willAccept

If willAccept says trickster will return true, then trickster returns false.

If willAccept says trickster will not return true, then trickster returns true.

```
bool willAccept(string function, string input) {  
    // Returns true if function(input) returns  
    // true. Returns false otherwise.  
}
```

A self-defeating
object.

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```

Using that object
against itself.

```
bool willAccept(string function, string input) {  
    // Returns true if function(input) returns  
    // true. Returns false otherwise.  
}
```

“The largest
integer n .”

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```

“The integer
 $n + 1$.”

Theorem: There is no largest integer.

Proof sketch: Suppose for the sake of contradiction that there is a largest integer. Call that integer n .

Consider the integer $n+1$.

Notice that $n < n+1$.

But then n isn't the largest integer.

Contradiction! ■-ish

Theorem: $A_{\text{TM}} \notin \mathbf{R}$.

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Theorem: $A_{\text{TM}} \notin \mathbf{R}$.

Proof: By contradiction; assume that $A_{\text{TM}} \in \mathbf{R}$. Then there is a decider D for A_{TM} . We can represent D as a function

`bool willAccept(string function, string w);`

that takes in the source code of a function `function` and a string `w`, then returns true if `function(w)` returns true and returns false otherwise.

Theorem: $A_{\text{TM}} \notin \mathbf{R}$.

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Given this, consider this function `trickster`:

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Choose a string `w`. We consider two cases:

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Case 1: `willAccept(me, input)` returns true.

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Choose a string `w`. We consider two cases:

Case 1: `willAccept(me, input)` returns true. Since `willAccept` decides A_{TM} , this means `trickster(w)` returns true.

Case 2: `willAccept(me, input)` returns false.

Theorem: $A_{\text{TM}} \notin \mathbf{R}$.

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Case 2: `willAccept(me, input)` returns false. Since `willAccept` decides A_{TM} , this means `trickster(w)` doesn't return true.

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In both cases we reach a contradiction, so our assumption must have been wrong.

Theorem: $A_{TM} \notin \mathbf{R}$.

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Case 2: `willAccept(me, input)` returns false. Since `willAccept` decides A_{TM} , this means `trickster(w)` doesn't return true. However, given how `trickster` is written, in this case `trickster(w)` returns true.

In both cases we reach a contradiction, so our assumption must have been wrong. Therefore, $A_{TM} \notin \mathbf{R}$.

Theorem: $A_{TM} \notin \mathbf{R}$.

Proof: By contradiction; assume that $A_{TM} \in \mathbf{R}$. Then there is a decider D for A_{TM} . We can represent D as a function

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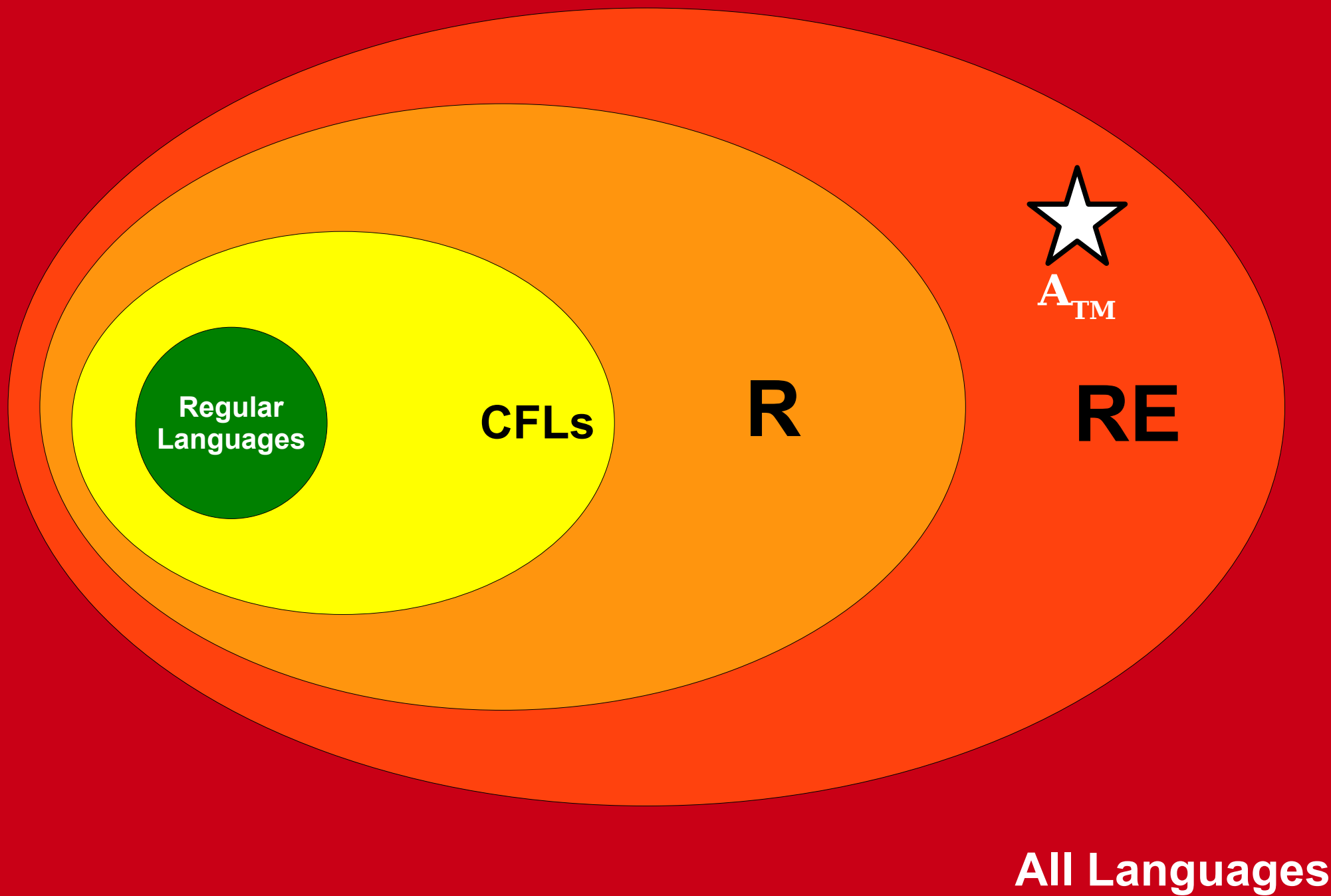
```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```

Choose a string `w`. We consider two cases:

Case 1: `willAccept(me, input)` returns true. Since `willAccept` decides A_{TM} , this means `trickster(w)` returns true. However, given how `trickster` is written, in this case `trickster(w)` returns false.

Case 2: `willAccept(me, input)` returns false. Since `willAccept` decides A_{TM} , this means `trickster(w)` doesn't return true. However, given how `trickster` is written, in this case `trickster(w)` returns true.

In both cases we reach a contradiction, so our assumption must have been wrong. Therefore, $A_{TM} \notin \mathbf{R}$. ■



What Does This Mean?

- In one fell swoop, we've proven that
 - A_{TM} is *undecidable*; there is no general algorithm that can determine whether a TM will accept a string.
 - $\mathbf{R} \neq \mathbf{RE}$, because $A_{\text{TM}} \notin \mathbf{R}$ but $A_{\text{TM}} \in \mathbf{RE}$.
- What do these three statements really mean? As in, why should you care?

$$A_{\text{TM}} \notin \mathbf{R}$$

- What exactly does it mean for A_{TM} to be undecidable?

Intuition: The only general way to find out what a program will do is to run it.

- As you'll see, this means that it's provably impossible for computers to be able to answer most questions about what a program will do.

$$A_{\text{TM}} \notin \mathbf{R}$$

- At a more fundamental level, the existence of undecidable problems tells us the following:

There is a difference between what is true and what we can discover is true.

- Given a TM M and a string w , one of these two statements is true:

M accepts w

M does not accept w

But since A_{TM} is undecidable, there is no algorithm that can always determine which of these statements is true!

$R \neq RE$

- Because $R \neq RE$, there is a difference between decidability and recognizability:

In some sense, it is fundamentally harder to solve a problem than it is to check an answer.

- There are problems where, when the answer is “yes,” you can confirm it (run a recognizer), but where if you don’t have the answer, you can’t come up with it in a mechanical way (build a decider).

Next Time

- ***Why All This Matters***
 - Important, practical, undecidable problems.
- ***Intuiting RE***
 - What exactly is the class **RE** all about?
- ***Verifiers***
 - A totally different perspective on problem solving.
- ***Beyond RE***
 - Finding an impossible problem using very familiar techniques.

Unsolvable Problems

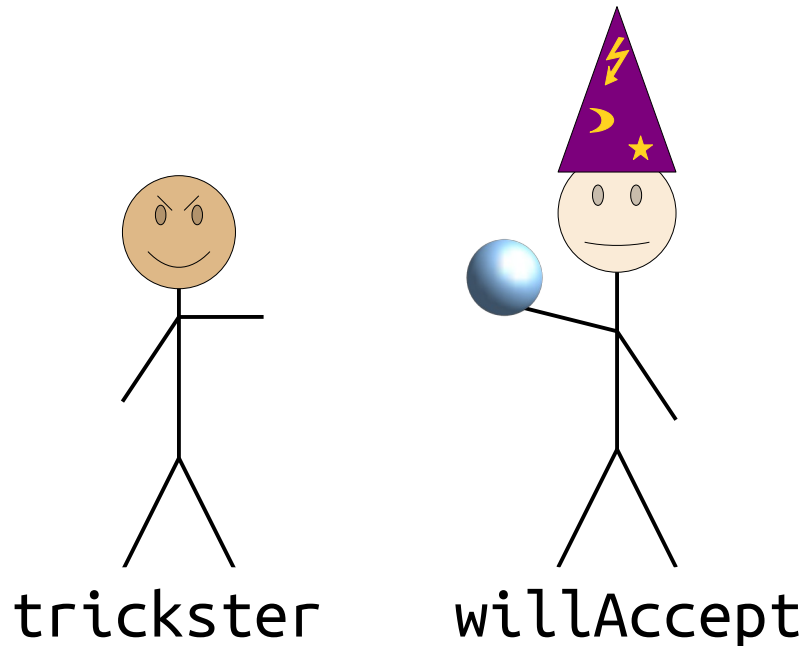
Part Two

Outline for Today

- ***More on Undecidability***
 - Even more problems we can't solve.
- ***A Different Perspective on RE***
 - What exactly does “recognizability” mean?
- ***Verifiers***
 - A new approach to problem-solving.
- ***Beyond RE***
 - A beautiful example of an impossible problem.

Recap from Last Time

```
bool willAccept(string function, string input) {  
    // Returns true if function(input) returns true.  
    // Returns false otherwise.  
}  
  
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```



trickster(input) returns true
↔
willAccept(me, input) returns true
↔
trickster(input) returns false

Theorem: $A_{TM} \notin \mathbf{R}$.

Proof: By contradiction; assume that $A_{TM} \in \mathbf{R}$. Then there is a decider D for A_{TM} . We can represent D as a function

```
bool willAccept(string function, string w);
```

that takes in the source code of a function `function` and a string `w`, then returns true if `function(w)` returns true and returns false otherwise. Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    return !willAccept(me, input);  
}
```

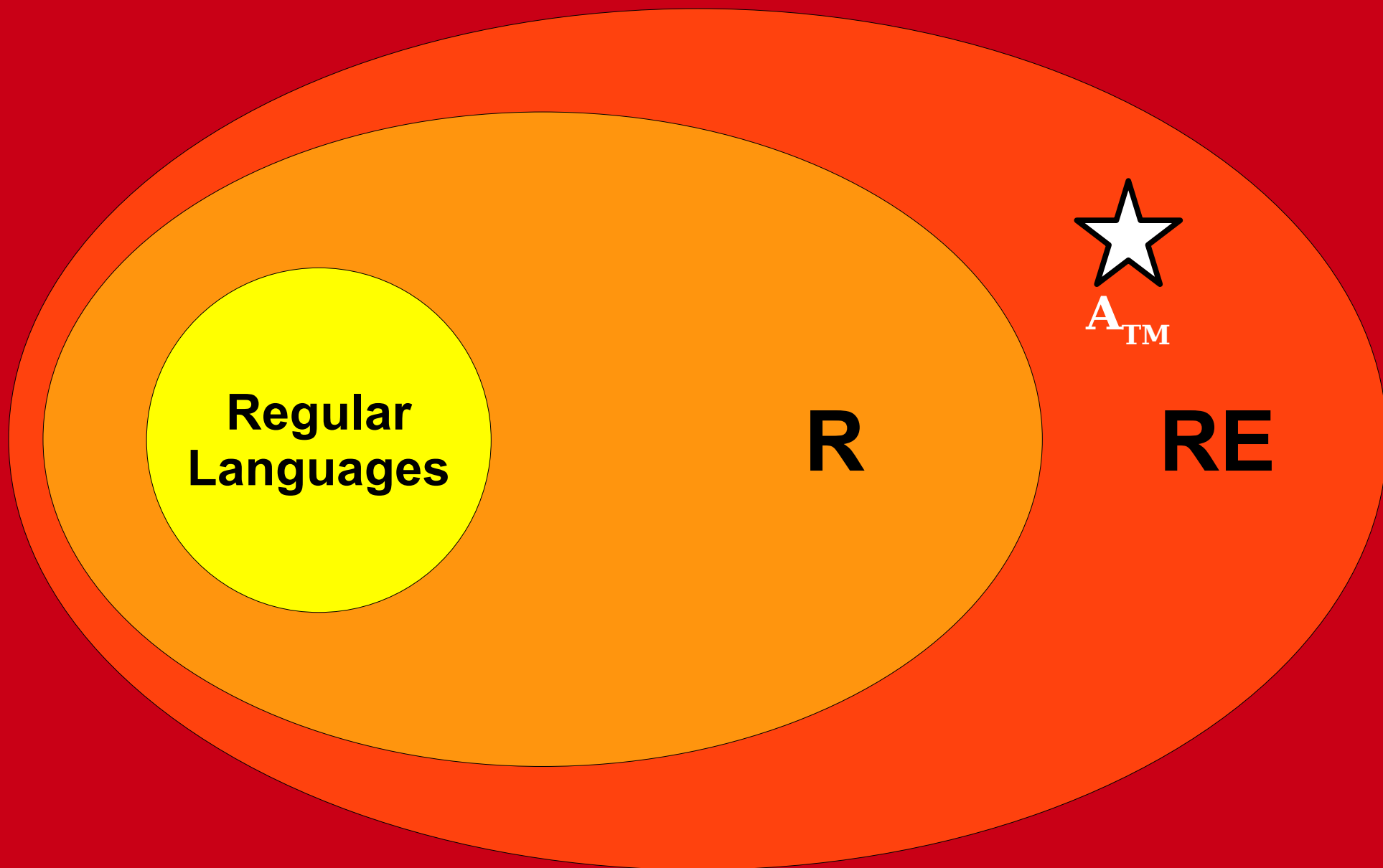
Since `willAccept` decides A_{TM} and `me` holds the source of `trickster`, we know that `willAccept(me, input)` returns true if and only if `trickster(input)` returns true. Given how `trickster` is written, we see that

`willAccept(me, input)` returns true if and only if `trickster(input)` returns false.

This means that

`trickster(input)` returns true if and only if `trickster(input)` returns false.

This is impossible. We've reached a contradiction, so our assumption was wrong and A_{TM} is undecidable. ■



All Languages

New Stuff!

More Impossibility Results

The Halting Problem

- The most famous undecidable problem is the **halting problem**, which asks:

**Given a TM M and a string w ,
will M halt when run on w ?**

- As a formal language, this problem would be expressed as

$HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$

- **Theorem:** $HALT$ is recognizable, but undecidable.
 - There's a recognizer for $HALT$.
 - There is no decider for $HALT$.

$HALT \in RE$

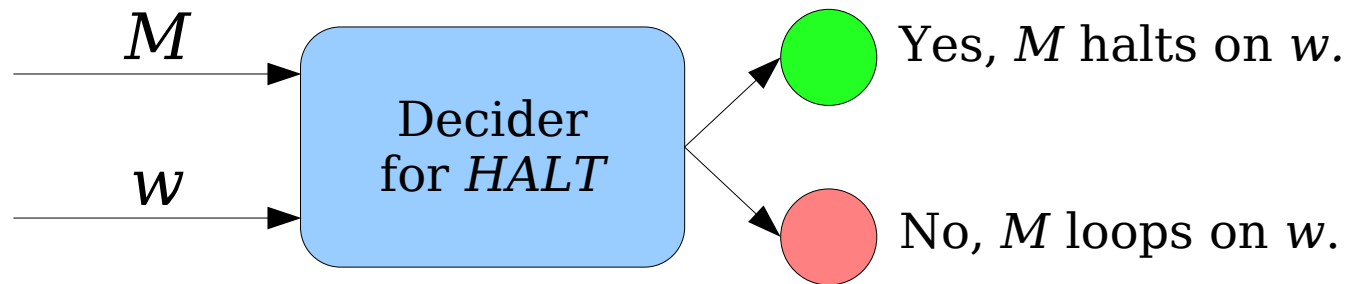
- **Claim:** $HALT \in RE$.
- **Idea:** If you were certain that a TM M halted on a string w , could you convince me of that?
- Yes – just run M on w and see what happens!

```
bool willHalt(string TM, string w) {  
    set up a simulation of M running on w;  
    while (true) {  
        if (M returned true) return true;  
        else if (M returned false) return true;  
        else simulate one more step of M running on w;  
    }  
}
```

Theorem: The halting problem is undecidable.

A Decider for *HALT*

- Let's suppose that, somehow, we managed to build a decider for $HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w \}$.
- Schematically, that decider would look like this:

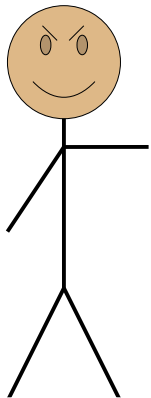


- We could represent this decider in software as a method
`bool willHalt(string function, string input);`
that takes as input a function and a string input, then
 - returns true if `function(input)` returns anything (halts), and
 - returns false if `function(input)` never returns anything (loops).

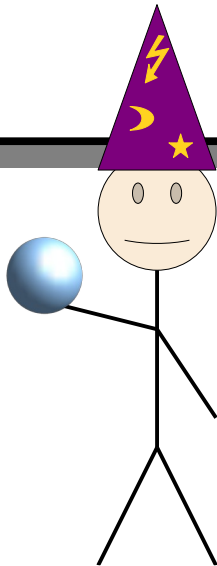
```
bool willHalt(string function, string input) {  
    // Returns true if function(input) halts.  
    // Returns false otherwise.  
}
```

```
bool trickster(string input) {
```

```
}
```



trickster



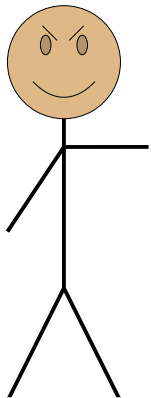
willHalt

trickster(input) halts

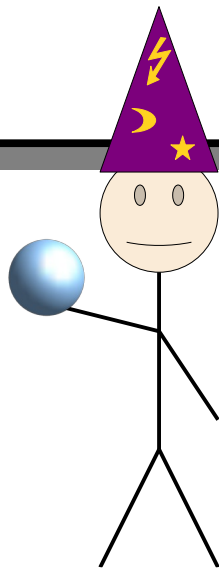
↔

willHalt(me, input) returns true

```
bool willHalt(string function, string input) {  
    // Returns true if function(input) halts.  
    // Returns false otherwise.  
}  
  
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    if (willHalt(me, input)) {  
        while (true) {  
            // Do nothing  
        }  
    } else {  
        return true;  
    }  
}
```



trickster



willHalt

trickster(input) halts

↔

willHalt(me, input) returns true

↔

trickster(input) loops

Theorem: $HALT \notin \mathbf{R}$.

Proof: By contradiction; assume that $HALT \in \mathbf{R}$. Then there is a decider D for $HALT$. We can represent D as a function

```
bool willHalt(string function, string w);
```

that takes in the source code of a function `function` and a string `w`, then returns true if `function(w)` halts and returns false otherwise. Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    if (willHalt(me, input)) {  
        while (true) { }  
    } else {  
        return true;  
    }  
}
```

Since `willHalt` decides $HALT$ and `me` holds the source of `trickster`, we know that

`willHalt(me, input)` returns true if and only if `trickster(input)` halts.

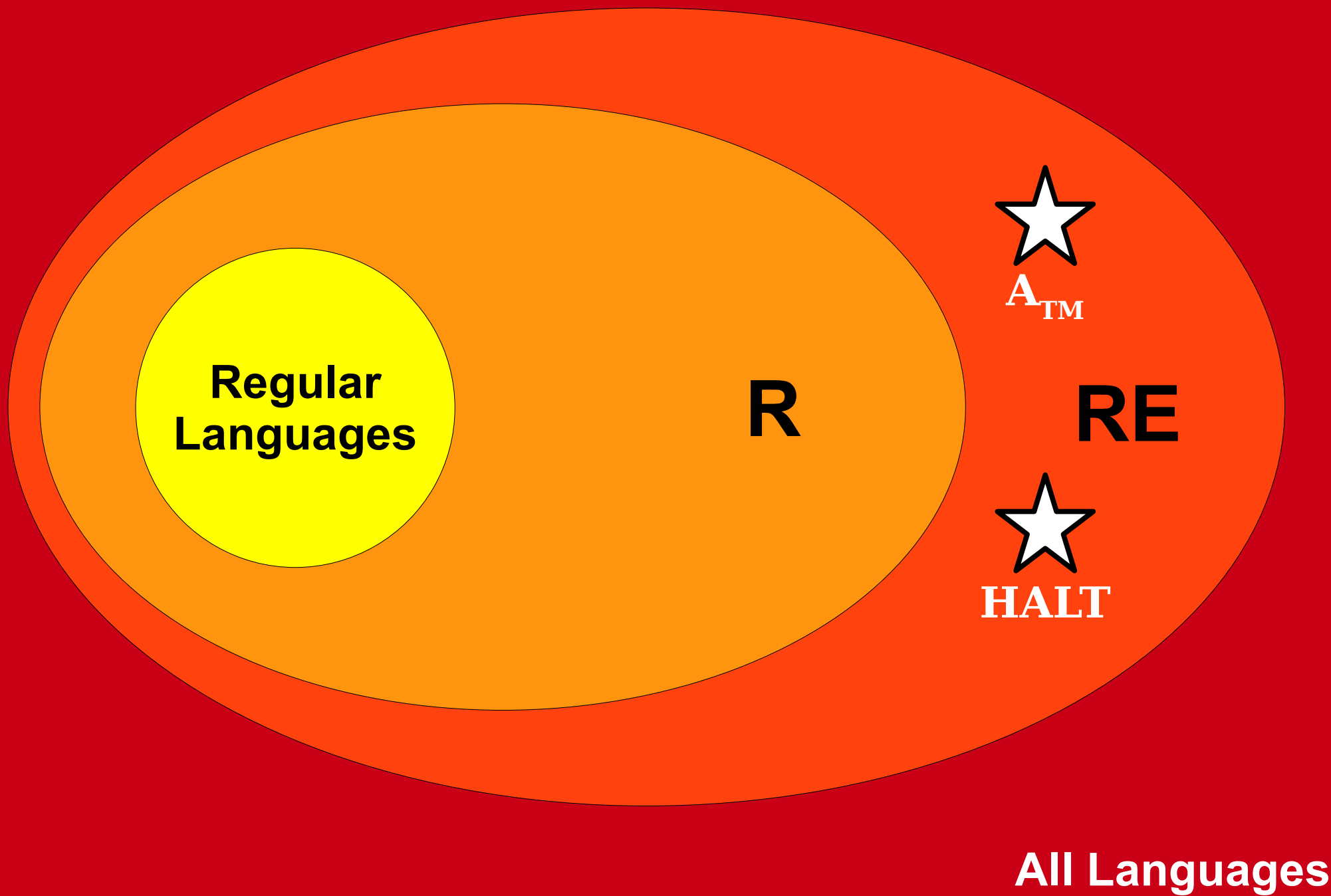
Given how `trickster` is written, we see that

`willHalt(me, input)` returns true if and only if `trickster(input)` loops.

This means that

`trickster(input)` halts if and only if `trickster(input)` loops.

This is impossible. We've reached a contradiction, so our assumption was wrong and $HALT$ is undecidable. ■



So What?

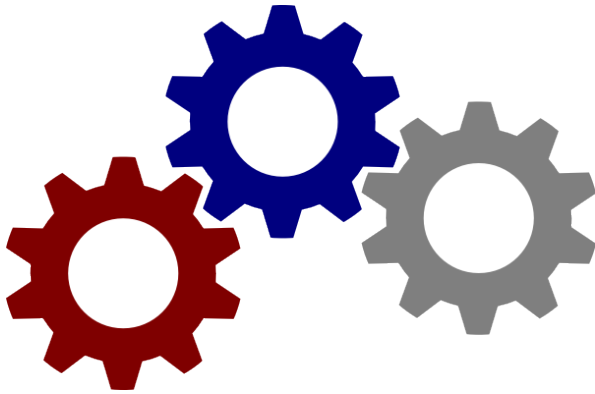
- These problems might not seem all that exciting, so who cares if we can't solve them?
- Turns out, this same line of reasoning can be used to show that some very important problems are impossible to solve.



Analogy Time!

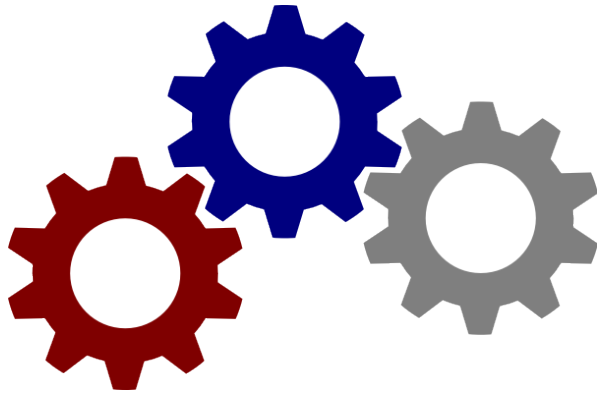
Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.

Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.

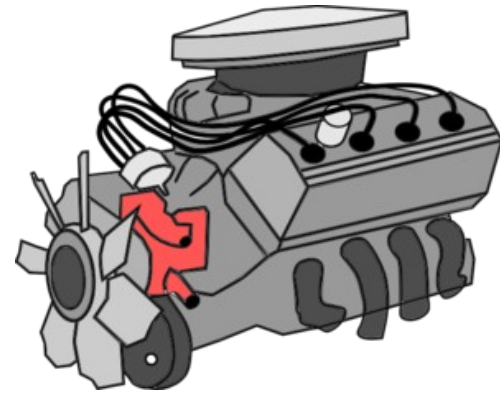


Engineering Prowess!

Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.

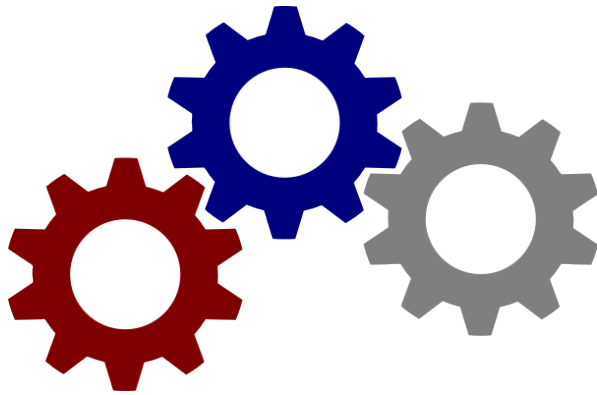


Engineering Prowess!

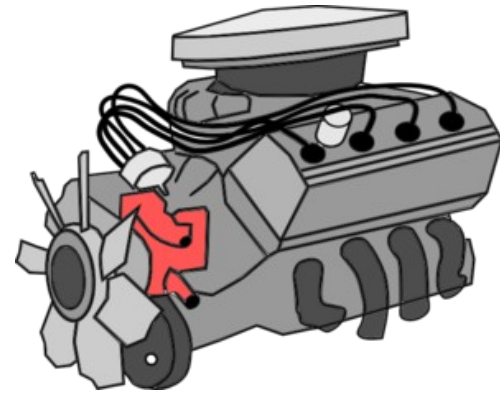


Awesome Engine!

Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.



Engineering Prowess!

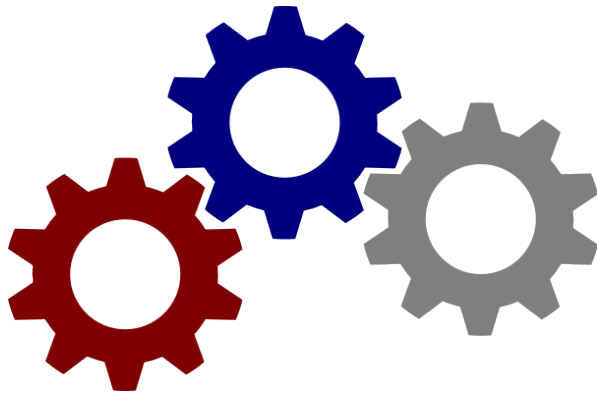


Awesome Engine!

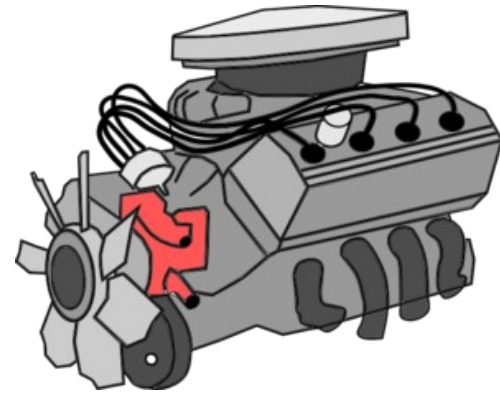
Regulatory Problem: Design a testing procedure that, given a diesel engine, determines whether it emits lots of NO_x pollutants.



Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.

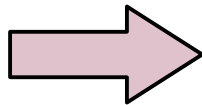
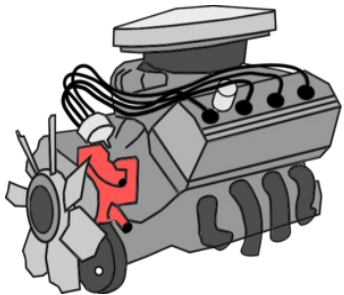


Engineering Prowess!

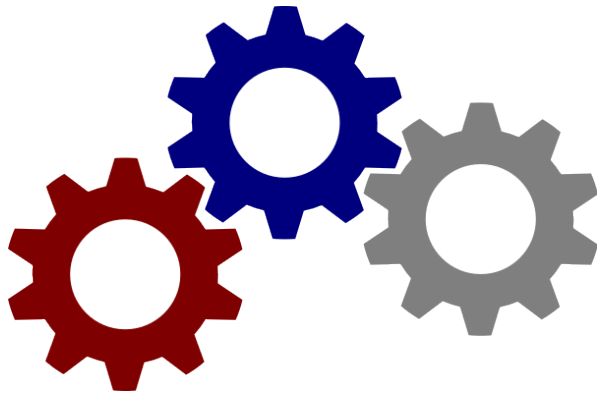


Awesome Engine!

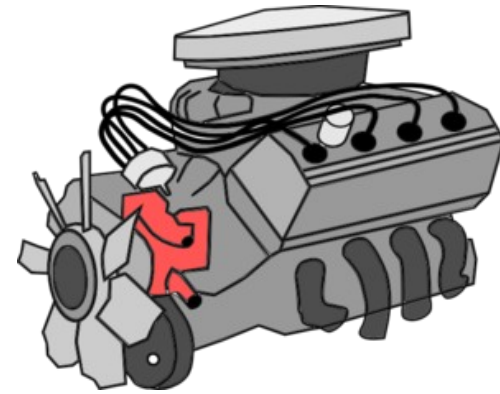
Regulatory Problem: Design a testing procedure that, given a diesel engine, determines whether it emits lots of NO_x pollutants.



Engineering Problem: Design a diesel engine that doesn't emit lots of NO_x pollutants.

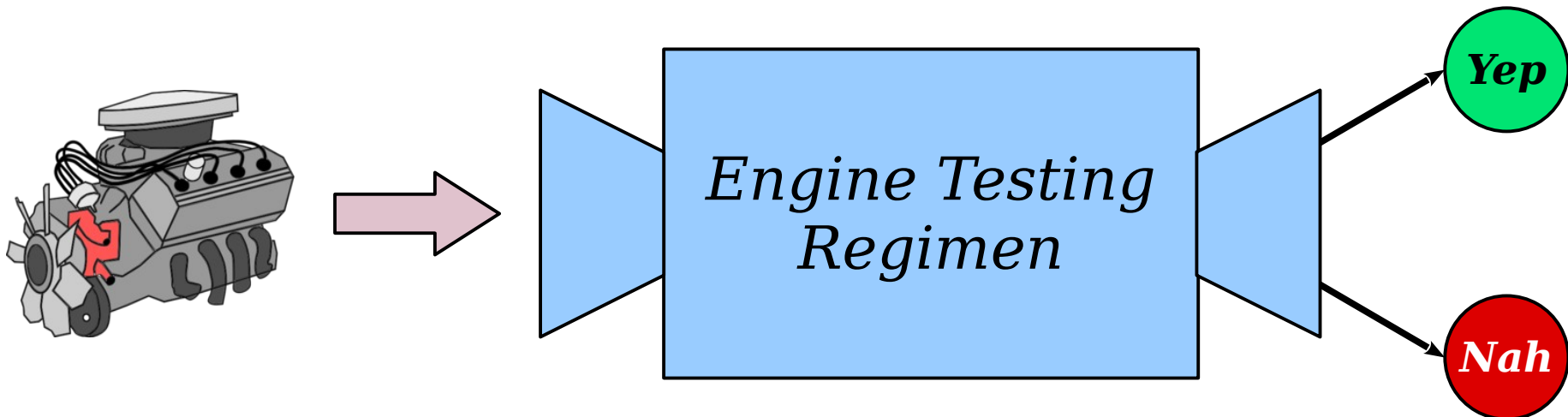


Engineering Prowess!



Awesome Engine!

Regulatory Problem: Design a testing procedure that, given a diesel engine, determines whether it emits lots of NO_x pollutants.



Fact: Almost all “regulatory problems” about computer programs are undecidable. That is, almost all problems of the form “does this program have [behavioral property X]” are undecidable.

This can be formalized through a result called ***Rice’s Theorem***; take CS154 for details!

Secure Voting

- Suppose that you want to make a voting machine for use in an election between two parties.
- Let $\Sigma = \{r, d\}$. A string $w \in \Sigma^*$ corresponds to a series of votes for the candidates.
- Example: $rrdddrd$ means “two people voted for r , then three people voted for d , then one more person voted for r , then one more person voted for d .”

Secure Voting

- A voting machine is a program that takes as input a string of r 's and d 's, then reports whether person r won the election.
- **Question:** Given a TM that someone claims is a secure voting machine, could we automatically check whether it actually is a secure voting machine?

A secure voting machine is a TM M where M accepts $w \in \{\mathbf{r}, \mathbf{d}\}^*$ if and only if w has more $\mathbf{r}$'s than $\mathbf{d}$'s.

```
bool bee(string input) {  
    int numRs = countRsIn(input);  
    int numDs = countDsIn(input);  
  
    return numRs > numDs;  
}
```

A (simple) secure voting machine.

```
bool topaz(string input) {  
    return input[0] == 'r';  
}
```

A (simple) insecure voting machine.

```
bool anna(string input) {  
    int numRs = countRsIn(input);  
    int numDs = countDsIn(input);  
  
    if (numRs == numDs) {  
        return false;  
    } else if (numRs < numDs) {  
        return false;  
    } else {  
        return true;  
    }  
}
```

An (evil) insecure voting machine.

```
bool green(string input) {  
    int n = input.length();  
    while (n > 1) {  
        if (n % 2 == 0) n /= 2;  
        else n = 3*n + 1;  
    }  
  
    int numRs = countRsIn(input);  
    int numDs = countDsIn(input);  
  
    return numRs > numDs;  
}
```

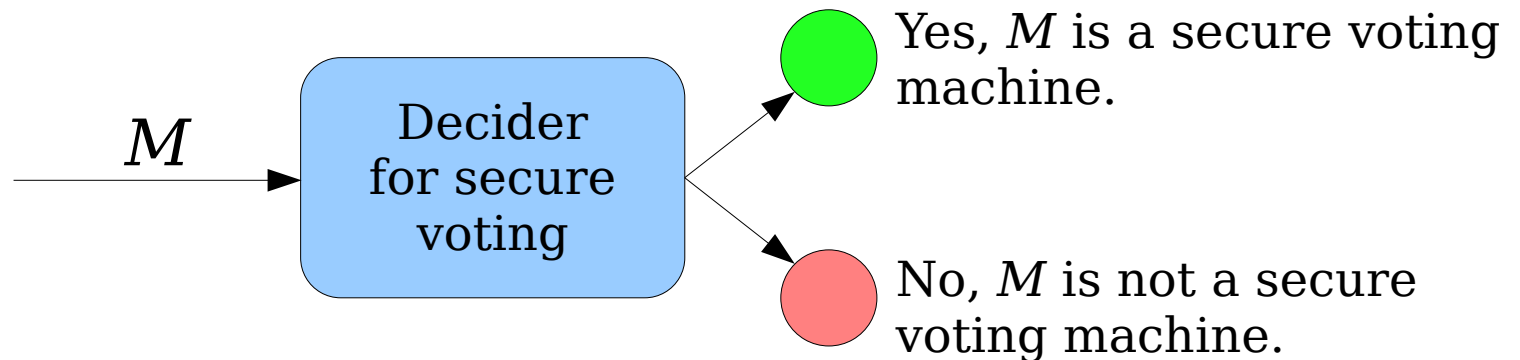
No one knows!

Secure Voting

- A voting machine is a program that takes as input a string of r 's and d 's, then reports whether person r won the election.
- **Question:** Given a TM that someone claims is a secure voting machine, could we automatically check whether it actually is a secure voting machine?

A Decider for Secure Voting

- Let's suppose that, somehow, we managed to build a decider for the secure voting problem.
- Schematically, that decider would look like this:



- We could represent this decider in software as a method
`bool isSecureVotingMachine(string function);`
that takes as input a function, then returns whether that function is a secure voting machine.


```
bool isSecureVotingMachine(string function) {
    // Returns whether function accepts only
    // strings with more r's than d's.
}

bool trickster(string input) {

}
```

```
bool isSecureVotingMachine(string function) {
    // Returns whether function accepts only
    // strings with more r's than d's.
}

bool trickster(string input) {

}
```

```
bool isSecureVotingMachine(string function) {
    // Returns whether function accepts only
    // strings with more r's than d's.
}

bool trickster(string input) {

}
```

trickster is a secure voting machine

↔

isSecureVotingMachine(me) returns true

trickster is a secure voting machine

↔

isSecureVotingMachine(me) returns true

trickster is a secure voting machine

↔

isSecureVotingMachine(me) returns true



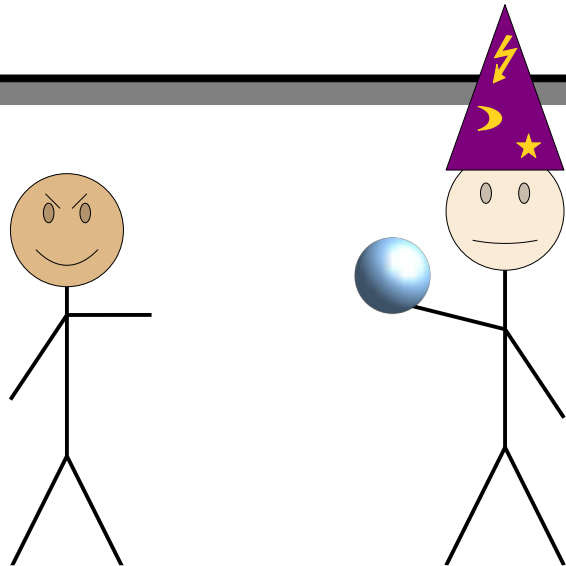
trickster isSecureVotingMachine

```

bool isSecureVotingMachine(string function) {
    // Returns whether function accepts only
    // strings with more r's than d's.
}

bool trickster(string input) {
    string me = /* source code of trickster */;
    if (isSecureVotingMachine(me)) {
        return countRsIn(input) <= countDsIn(input);
    } else {
        return countRsIn(input) > countDsIn(input);
    }
}

```



trickster isSecureVotingMachine

trickster is a secure voting machine
 $\leftrightarrow$
 isSecureVotingMachine(me) returns true
 $\leftrightarrow$
 trickster isn't a secure voting machine.

Theorem: The secure voting problem is undecidable.

Proof: By contradiction; there is a decider D for the secure voting problem. We can represent D as a function

```
bool isSecureVotingMachine(string function);
```

that takes in the source code of a function `function`, then returns whether `function` is a secure voting machine (that is, whether it accepts precisely the strings with more **r**'s than **d**'s). Given this, consider this function `trickster`:

```
bool trickster(string input) {  
    string me = /* source code of trickster */;  
    if (isSecureVotingMachine(me)) {  
        return /* if input has at most as many r's as d's */;  
    } else {  
        return /* if input has more r's than d's */;  
    }  
}
```

Since `isSecureVotingMachine` decides the secure voting problem and `me` holds the source of `trickster`, we know that

`isSecureVotingMachine(me)` returns true if and only if `trickster` is a secure voting machine.

Given how `trickster` is written, we see that

`isSecureVotingMachine(me)` returns true if and only if `trickster` isn't a secure voting machine

This means that

`trickster` is a secure voting machine if and only if `trickster` isn't a secure voting machine.

This is impossible. We've reached a contradiction, so our assumption was and the secure voting problem is undecidable. ■

Interpreting this Result

- The previous argument tells us that *there is no general algorithm* that we can follow to determine whether a program is a secure voting machine. In other words, any general algorithm to check voting machines will always be wrong on at least one input.
- So what can we do?
 - Design algorithms that work in *some*, but not *all* cases. (This is often done in practice.)
 - Fall back on human verification of voting machines. (We do that too.)
 - Carry a healthy degree of skepticism about electronic voting machines. (Then again, did we even need the theoretical result for this?)

Time-Out for Announcements!

Please evaluate this course in Axess.
Your comments really make a difference.

Problem Sets

- PS6 solution and grades released!
- Problem Set Seven is due on Wednesday at 5:30PM.

Final Exam

- The final exam will be this Saturday from 7-10PM PST at Hewlett 201 (this lecture hall)
- The exam is closed-book, 1 page of notes.
 - Limited Turing Machines.
- ***You can do this.*** Best of luck on the exam!

PSET Retraction

- Abhy created a post on Ed with a Google Form for PSET Retraction.
- Retracted PSETs will get a 0.
- We will only report Honor Code violations on unretracted PSETs.
 - Copying another student's work.
 - Using AI to generate proofs.
 - Etc..

Back to CS103!

Beyond **R** and **RE**

Beyond **R** and **RE**

- We've now seen how to use self-reference as a tool for showing undecidability (finding languages not in **R**).
- We still have not broken out of **RE** yet, though.
- To do so, we will need to build up a better intuition for the class **RE**.

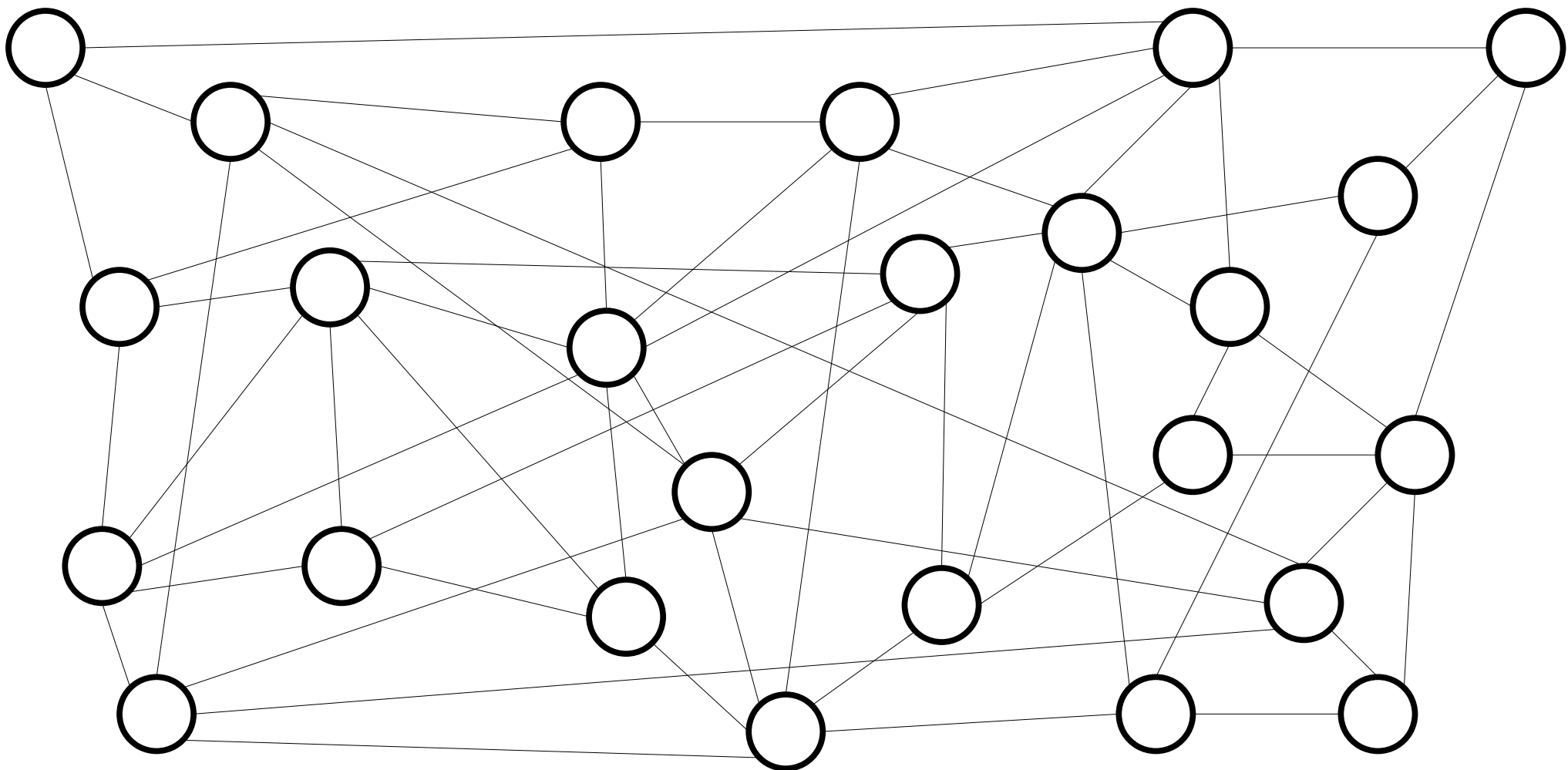
What exactly is the class **RE**?

RE, Formally

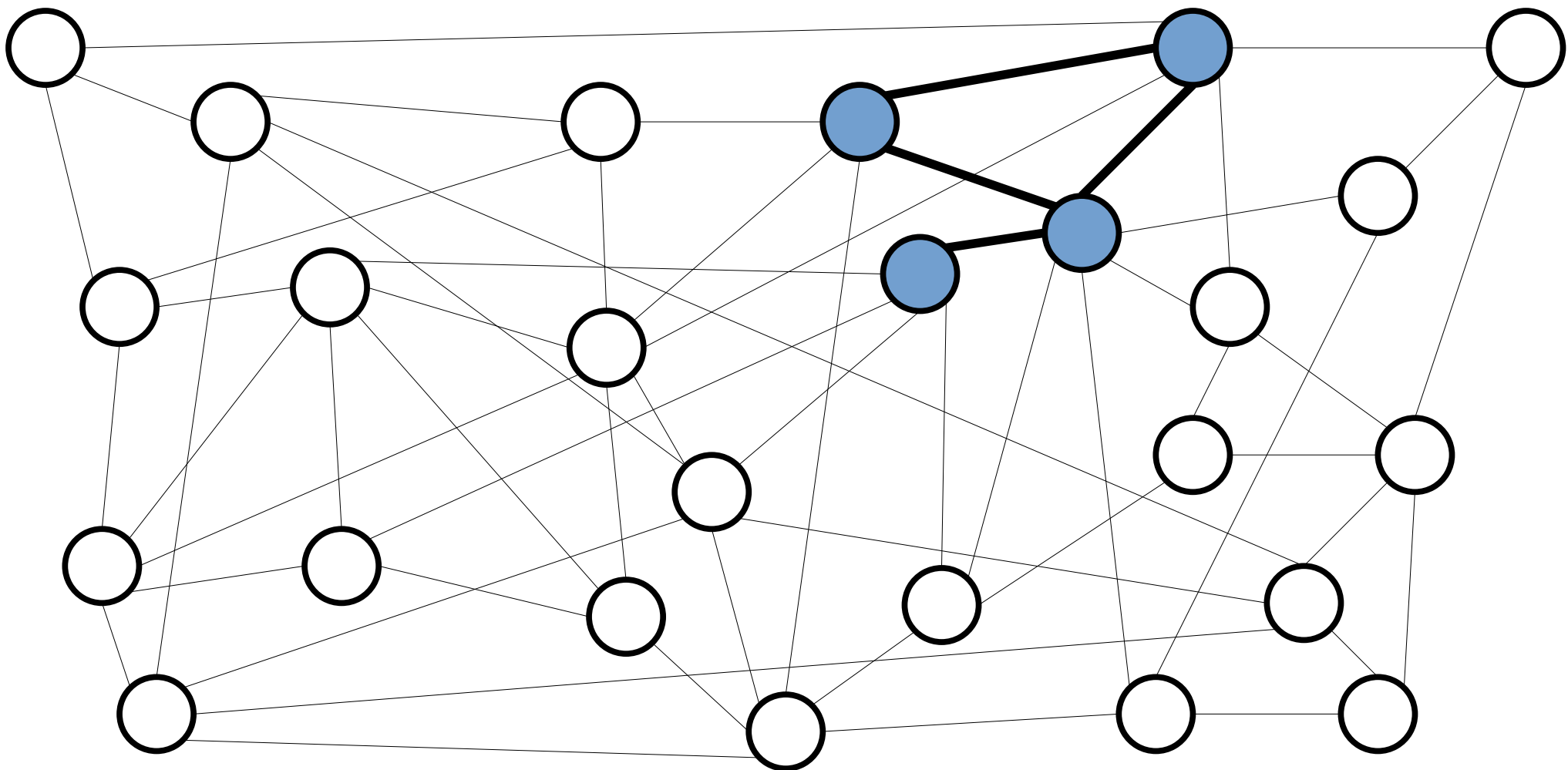
- Recall that the class **RE** is the class of all recognizable languages:

$$\mathbf{RE} = \{ L \mid \text{there is a TM } M \text{ that recognizes } L \}$$

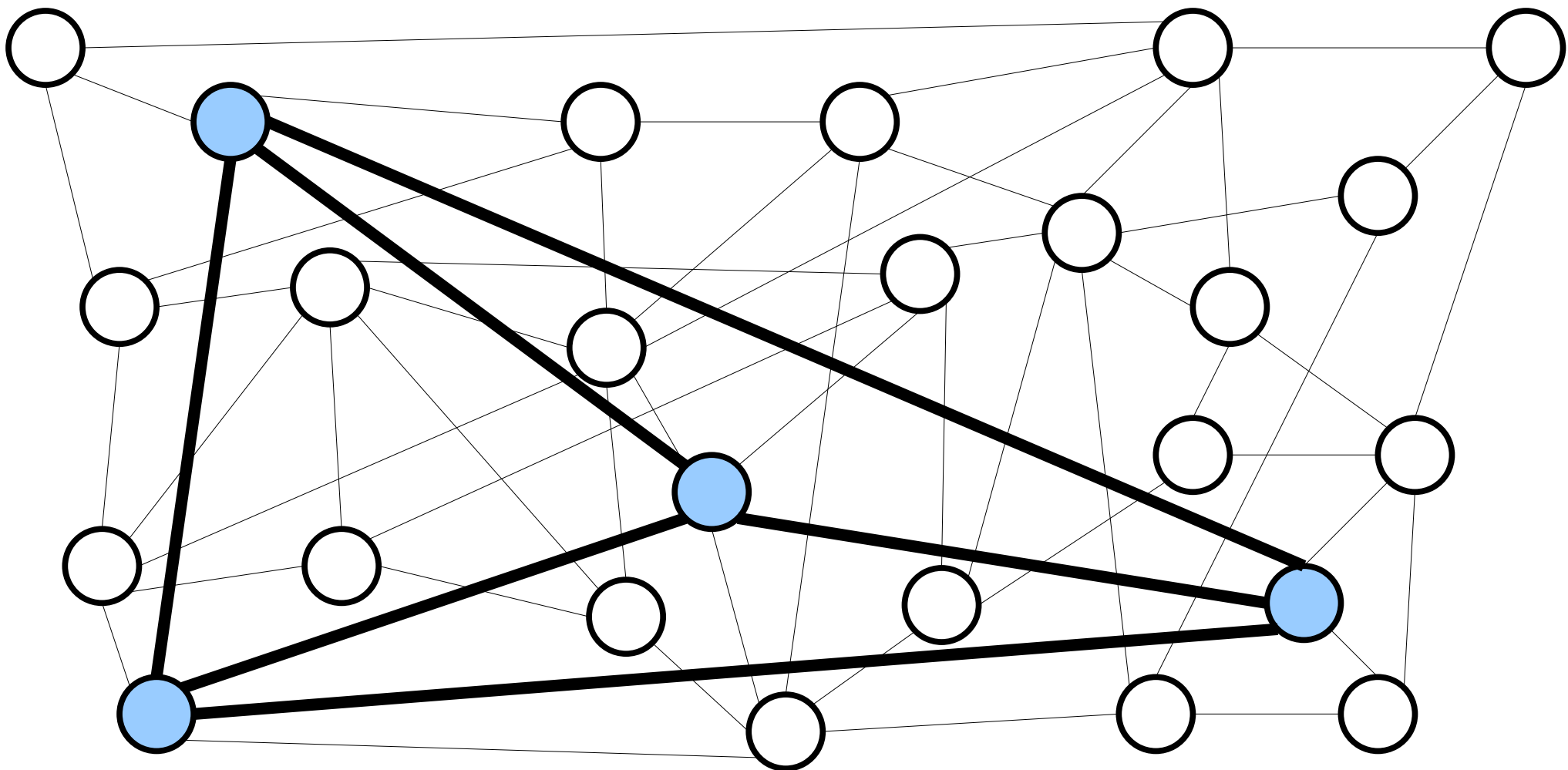
- Since $\mathbf{R} \neq \mathbf{RE}$, there is no general way to “solve” problems in the class **RE**, if by “solve” you mean “make a computer program that can always tell you the correct answer.”
- So what exactly *are* the sorts of languages in **RE**?



Does this graph contain four mutually adjacent nodes?



Does this graph contain four mutually adjacent nodes?



Does this graph contain four mutually adjacent nodes?

Key Intuition:

A language L is in **RE** if, for any string w , if you are *convinced* that $w \in L$, there is some way you could prove that to someone else.

Verification

11

Does the hailstone sequence
terminate for this number?

Verification

11

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

34

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

17

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

52

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

26

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

13

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

40

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

20

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

10

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

5

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

16

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

8

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

4

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

2

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

1

Try running fourteen steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

11

Does the hailstone sequence
terminate for this number?

Verification

11

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

34

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

17

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

52

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

26

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verification

13

Try running five steps of the Hailstone sequence.

Does the hailstone sequence
terminate for this number?

Verifiers

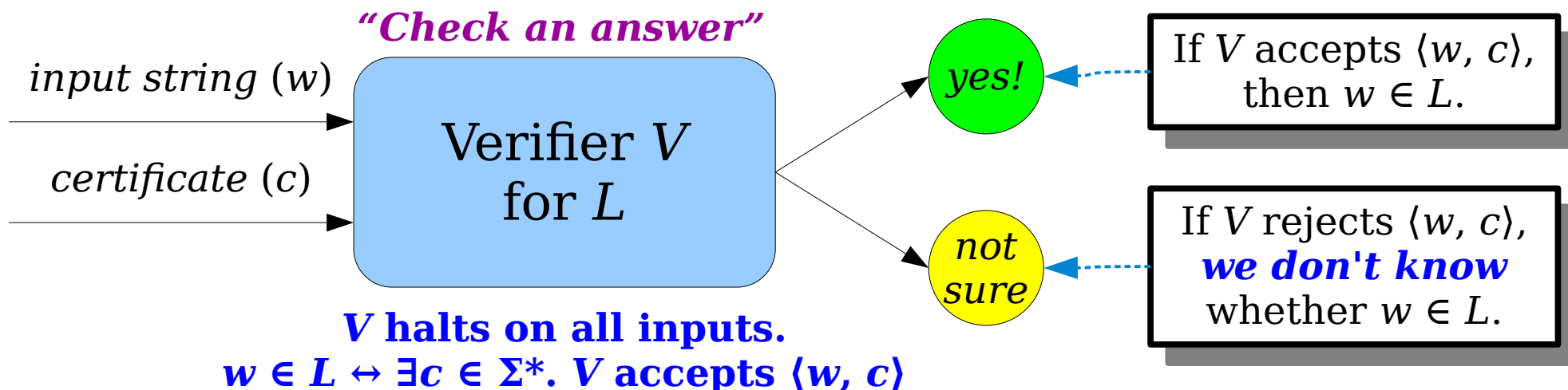
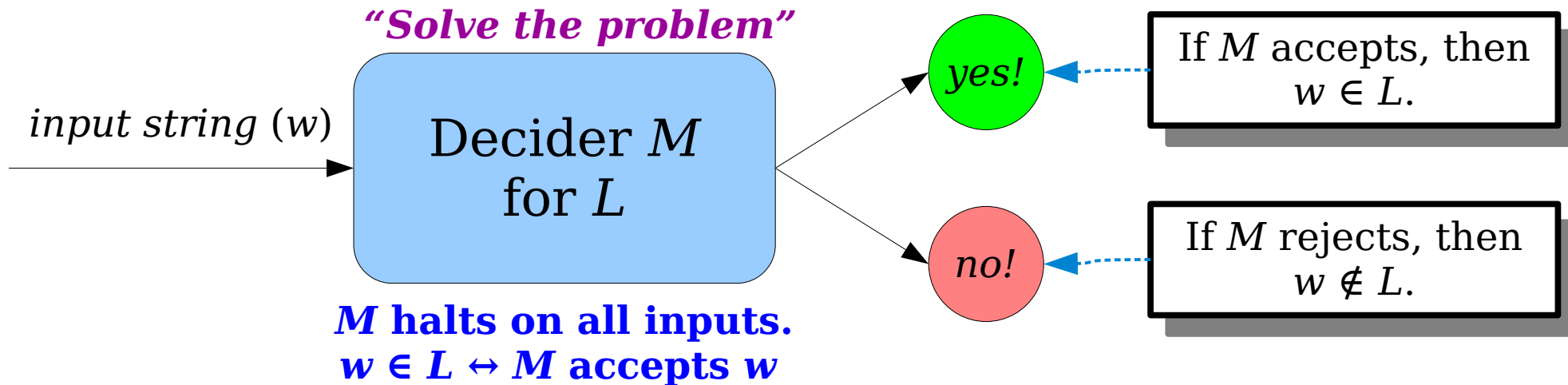
- A **verifier** for a language L is a TM V with the following two properties:

V halts on all inputs.

$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$

- Intuitively, what does this mean?

Deciders and Verifiers



Verifiers

- A **verifier** for a language L is a TM V with the following properties:

V halts on all inputs.

$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$

- Some notes about V :
 - If V accepts $\langle w, c \rangle$, we're guaranteed $w \in L$.
 - If V rejects $\langle w, c \rangle$, then either
 - $w \in L$, but you gave the wrong c , or
 - $w \notin L$, so no possible c will work.

Verifiers

- A **verifier** for a language L is a TM V with the following properties:

V halts on all inputs.

$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$

- Some notes about V :
 - Notice that the certificate c is existentially quantified. Any string $w \in L$ must have at least one c that causes V to accept, and possibly more.
 - V is required to halt, so given any potential certificate c for w , you can check whether the certificate is correct.

Verifiers

- A **verifier** for a language L is a TM V with the following properties:

V halts on all inputs.

$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$

- Some notes about V :
 - Notice that V isn't a decider for L and isn't a recognizer for L .
 - The job of V is just to check certificates, not to decide membership in L .

Verifiers

- A **verifier** for a language L is a TM V with the following properties:

V halts on all inputs.

$\forall w \in \Sigma^*. (w \in L \leftrightarrow \exists c \in \Sigma^*. V \text{ accepts } \langle w, c \rangle)$

- Some notes about V :
 - Although this formal definition works with a string c , remember that c can be an encoding of some other object.
 - In practice, c will likely just be “some other auxiliary data that helps you out.”

A Very Nifty Verifier

- Consider A_{TM} :

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

- This is a **canonical** example of an undecidable language. There's no way, in general, to tell whether a TM M will accept a string w .
- Although this language is undecidable, it's an **RE** language, and it's possible to build a verifier for it!

A Very Nifty Verifier

- Consider A_{TM} :

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

- We know that U_{TM} is a recognizer for A_{TM} . It is also a *verifier* for A_{TM} ?
- No, for two reasons:
 - U_{TM} doesn't always halt. (*Do you see why?*)
 - U_{TM} takes as input a TM M and a string w . A verifier also needs a certificate.

A Very Nifty Verifier

- Consider A_{TM} :

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

- A verifier for A_{TM} would take as input
 - A TM M ,
 - a string w , and
 - a certificate c .
- The certificate c should be some evidence that suggests that M accepts w .
- What could our certificate be?

Some Verifiers

- Consider A_{TM} :

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

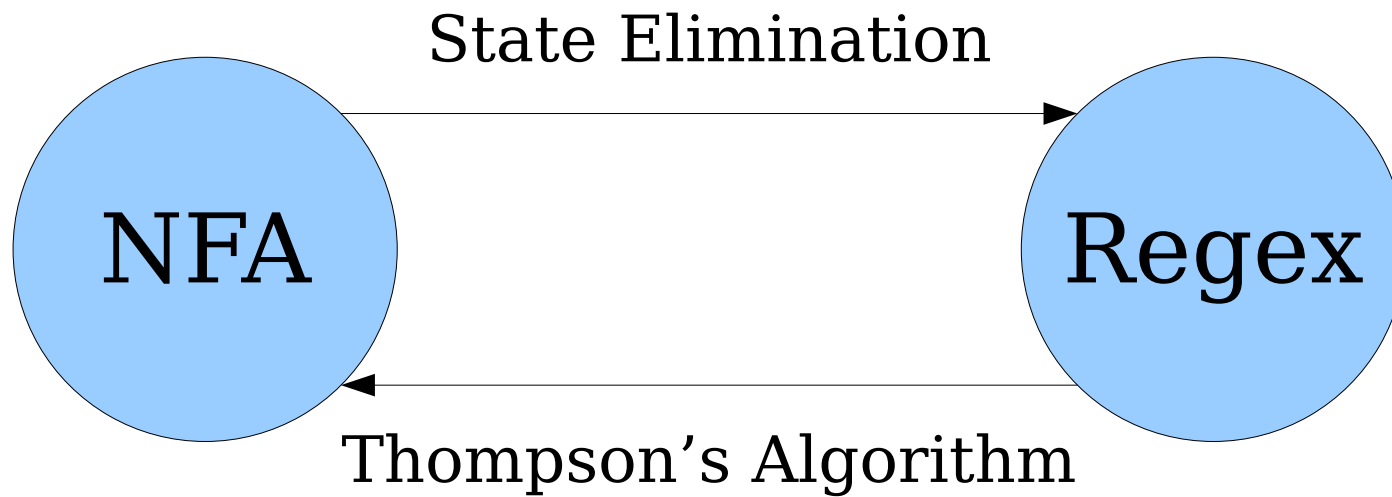
```
bool checkWillAccept(TM M, string w, int c) {  
    set up a simulation of M running on w;  
    for (int i = 0; i < c; i++) {  
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    return whether M is in an accepting state;  
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```

- Do you see why M accepts w if and only if there is a c such that $\text{checkWillAccept}(M, w, c)$ returns true?
- Do you see why checkWillAccept always halts?

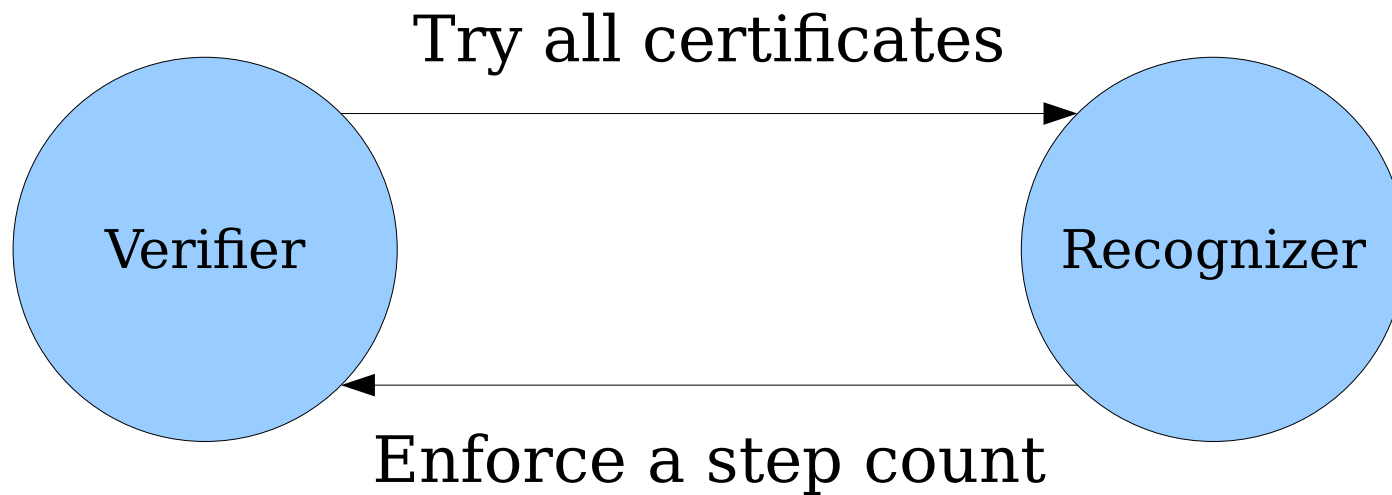
What languages are verifiable?

Theorem: If L is a language, then there is a verifier for L if and only if $L \in \mathbf{RE}$.

Where We've Been

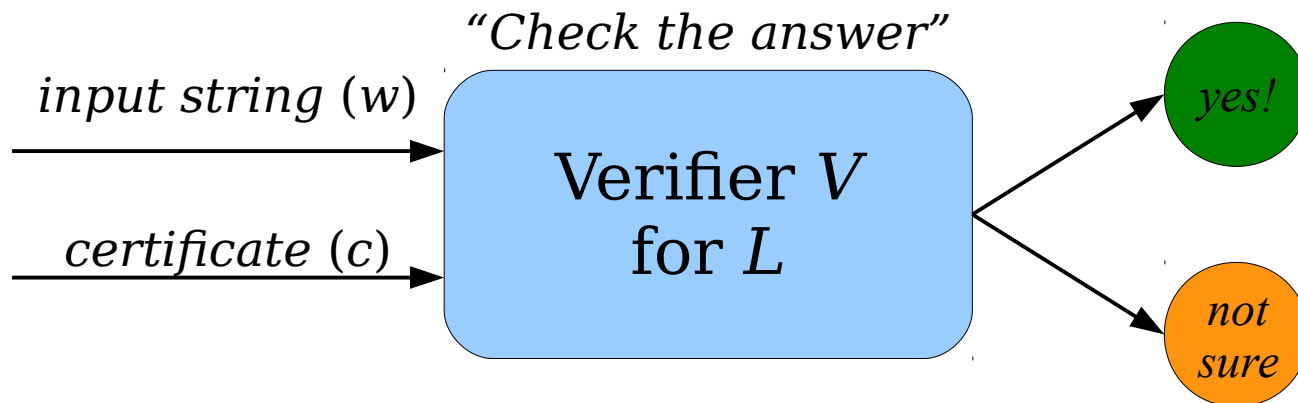


Where We're Going



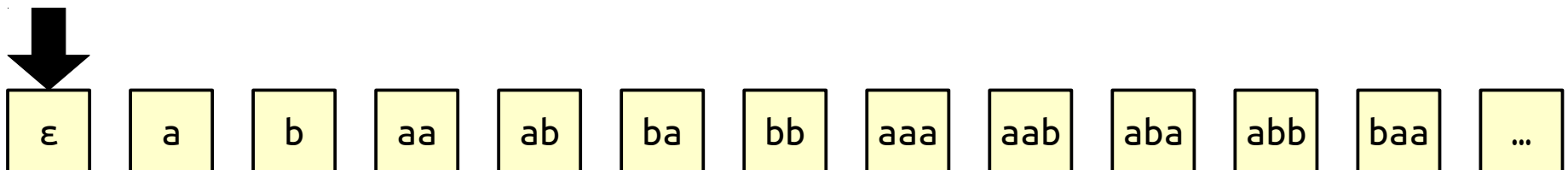
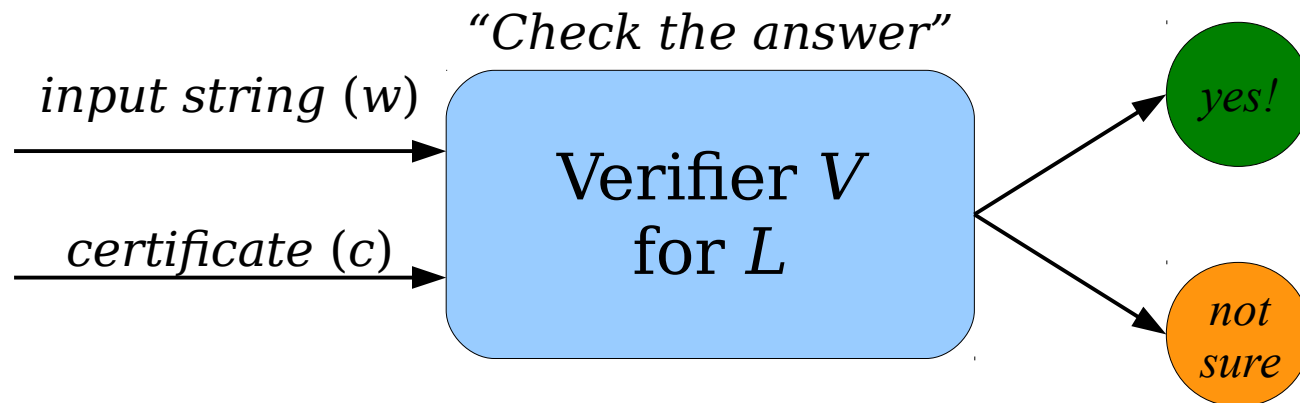
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- **Theorem:** If there is a verifier V for a language L , then $L \in \mathbf{RE}$.
- **Proof goal:** Given a verifier V for a language L , find a way to construct a recognizer M for L .



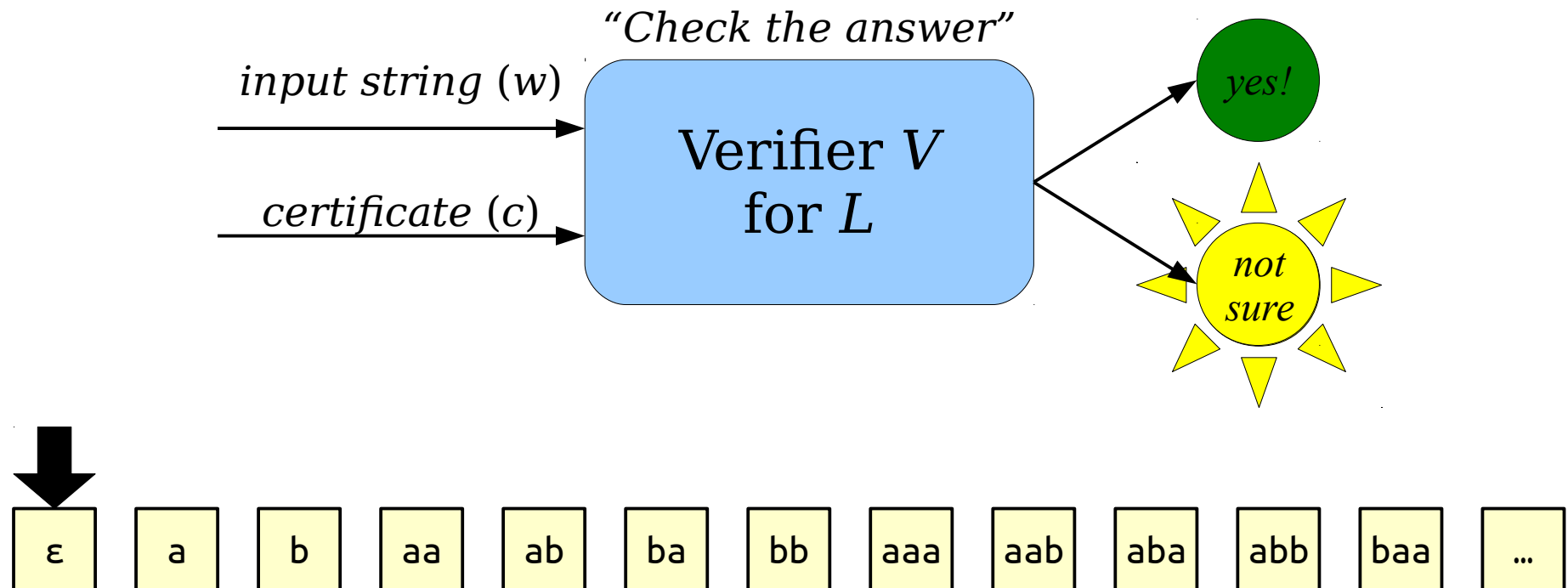
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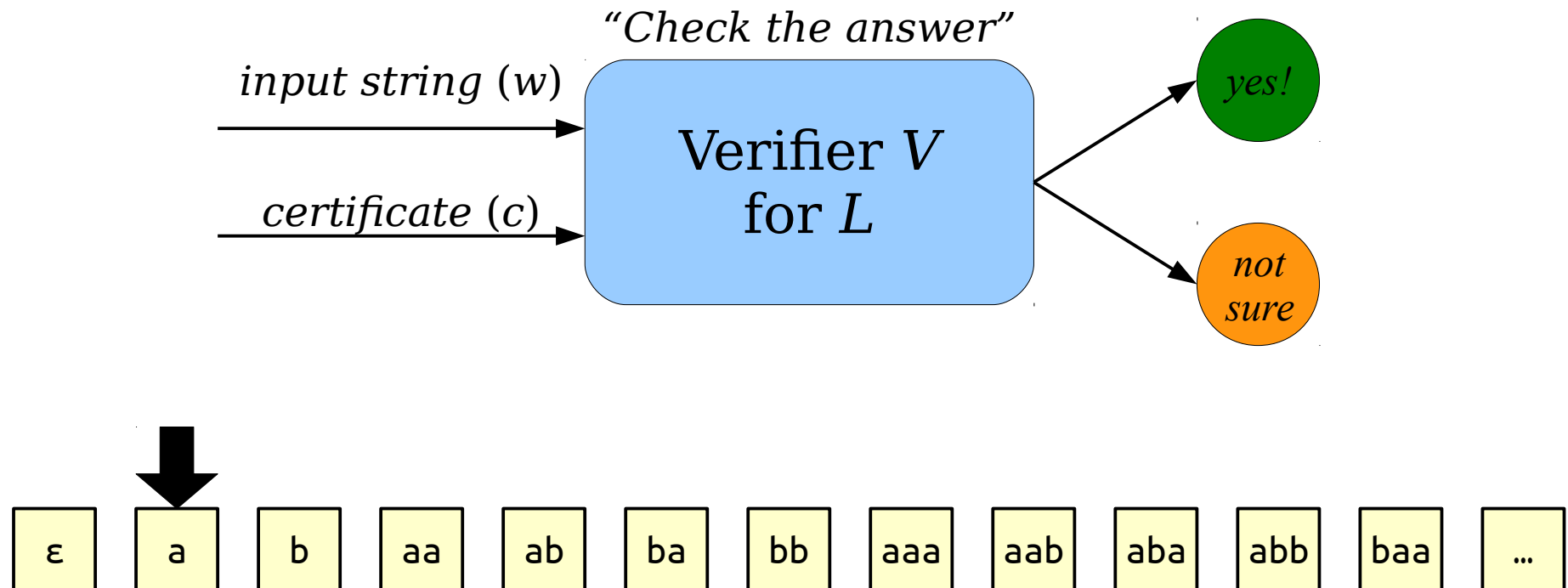
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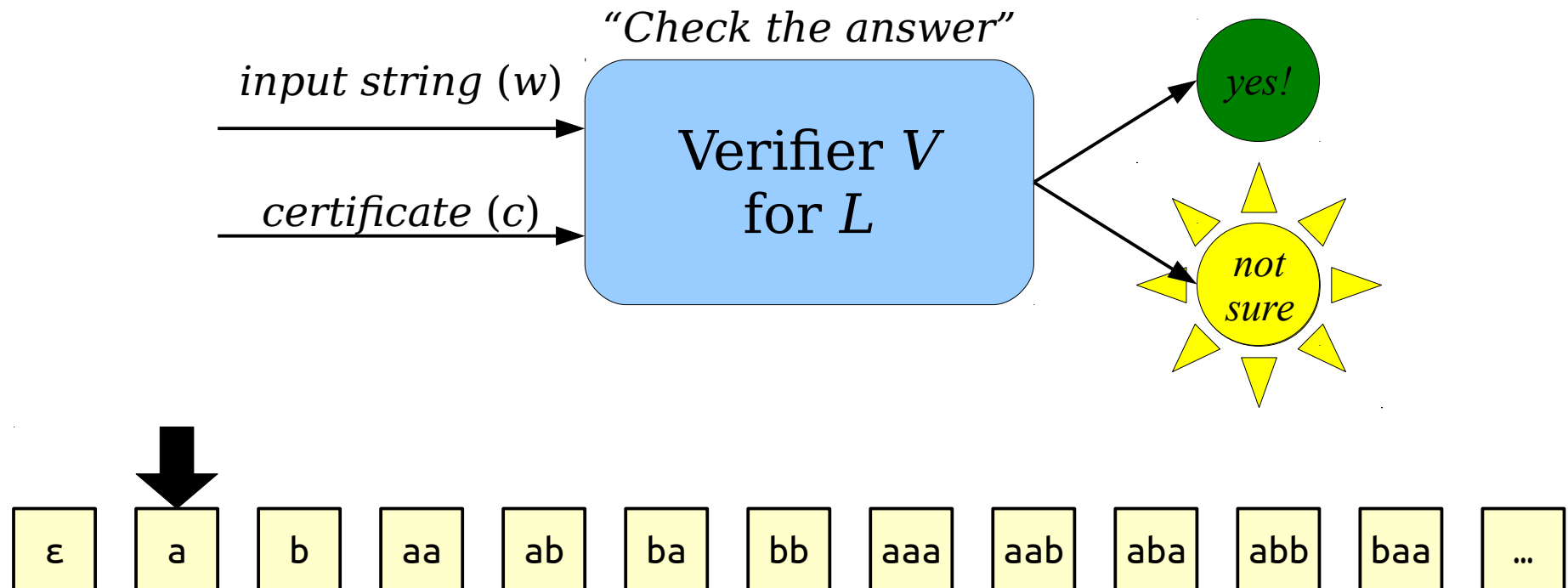
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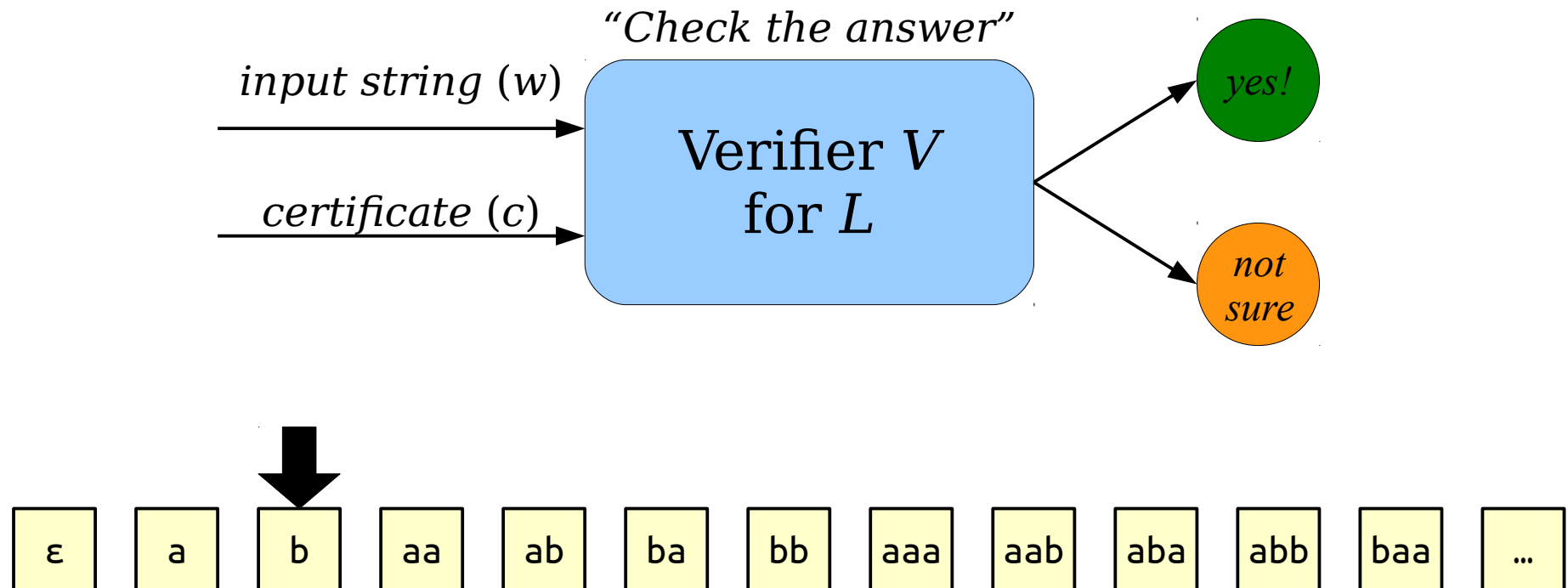
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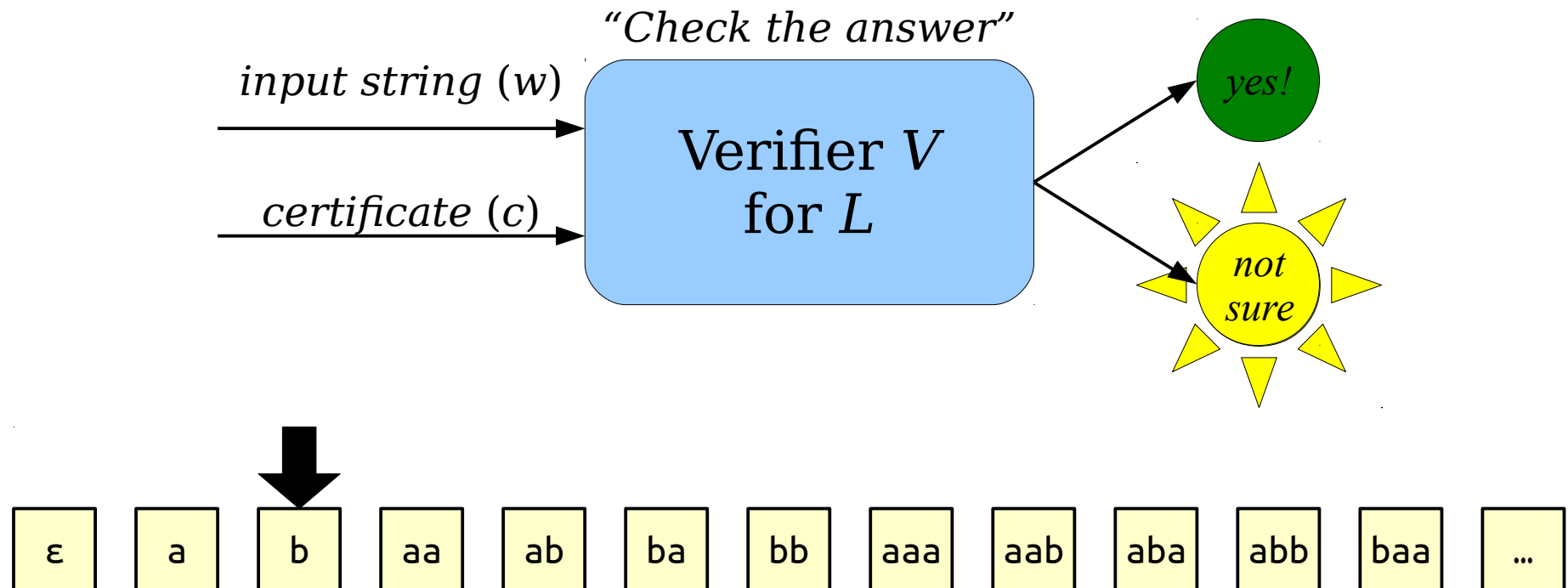
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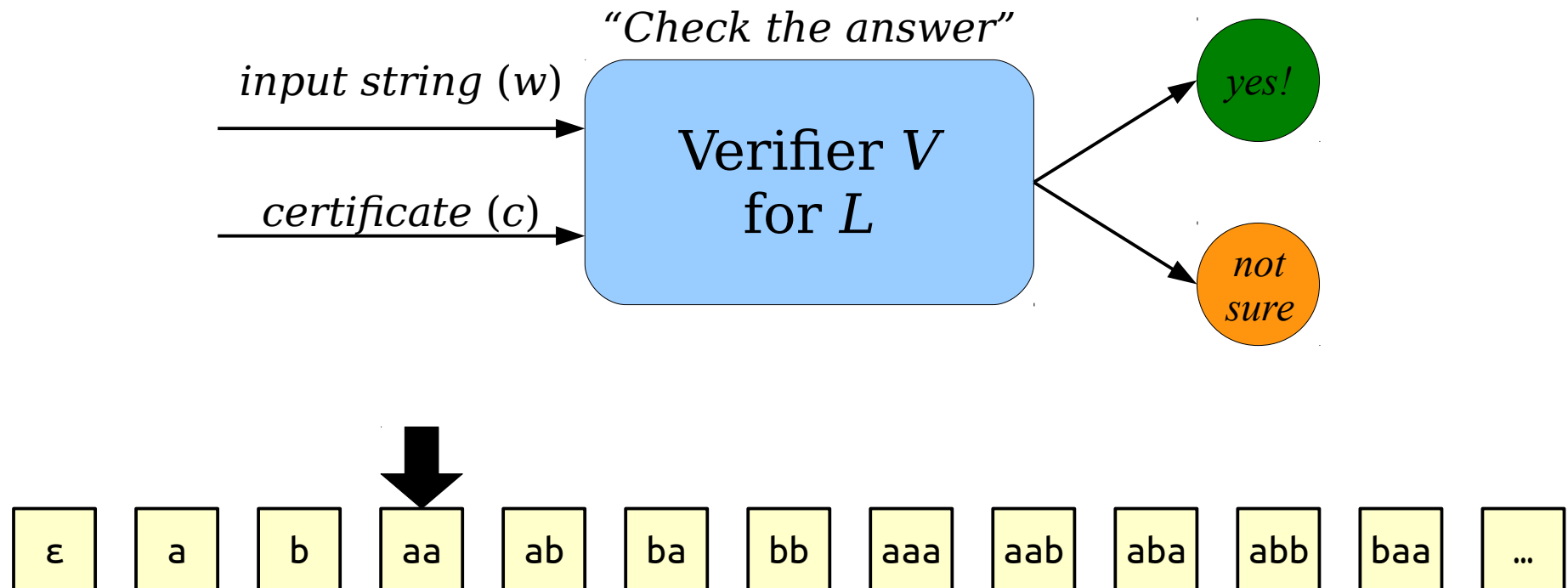
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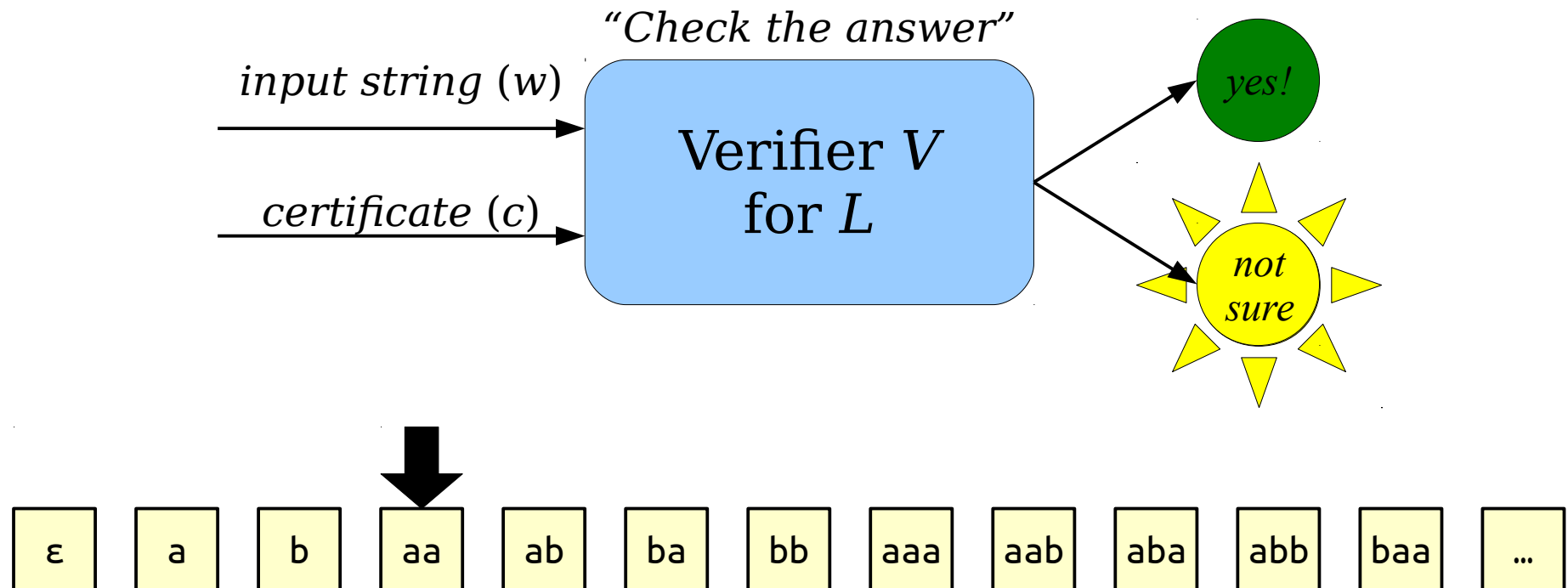
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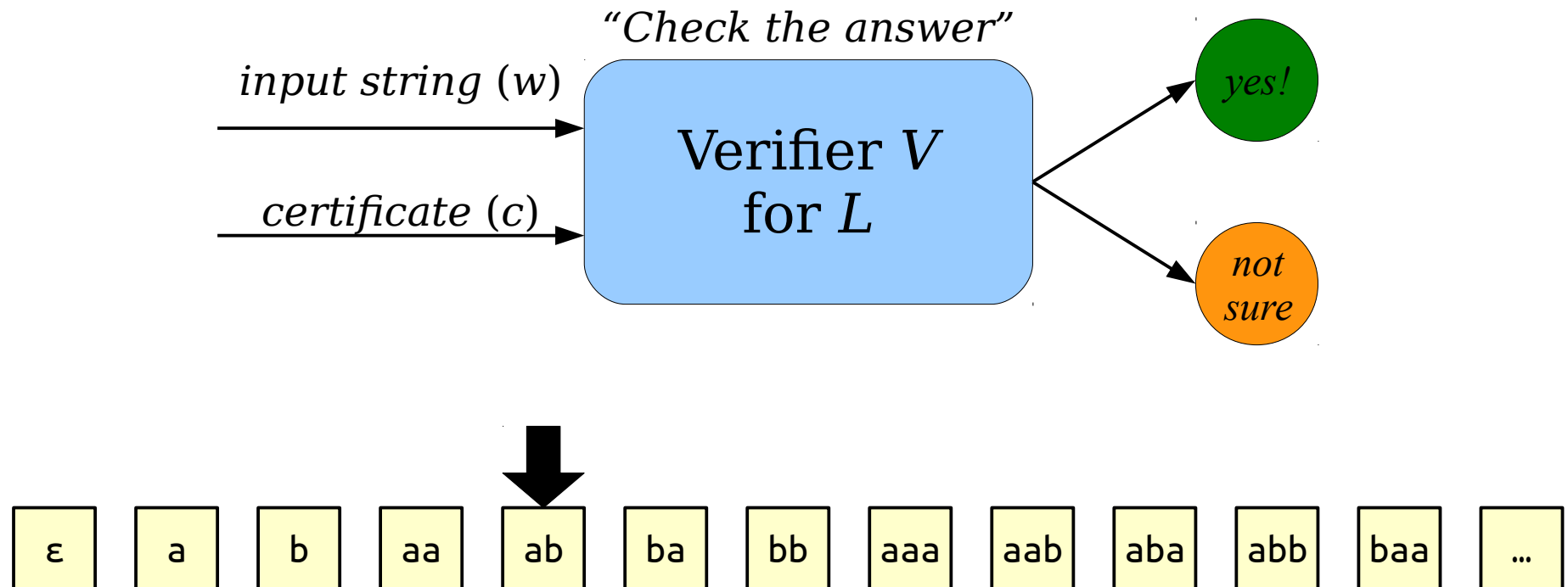
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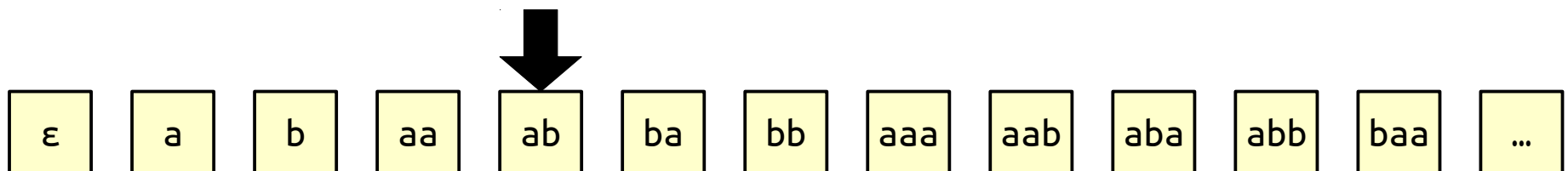
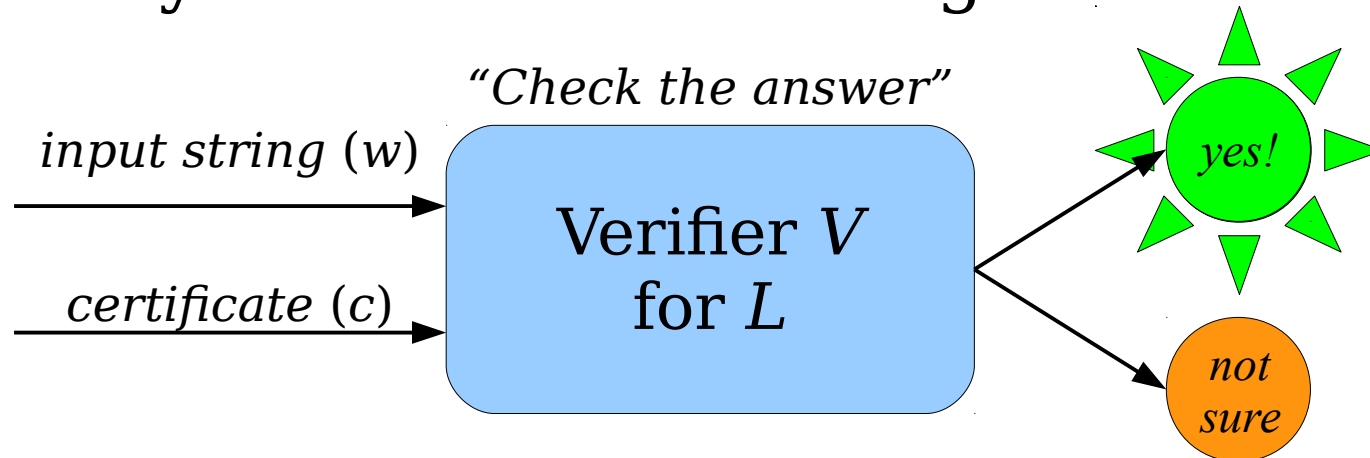
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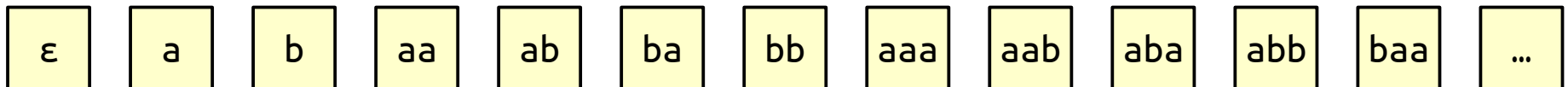
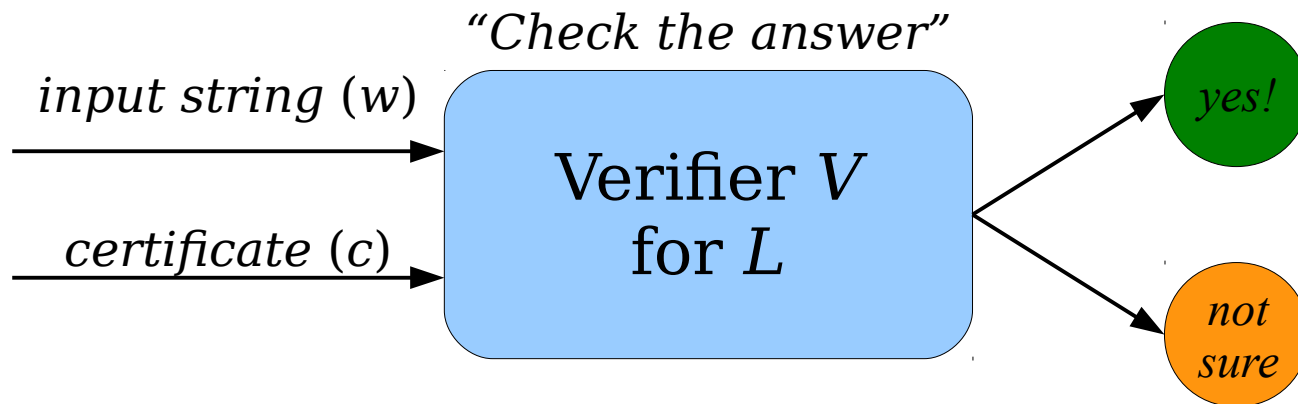
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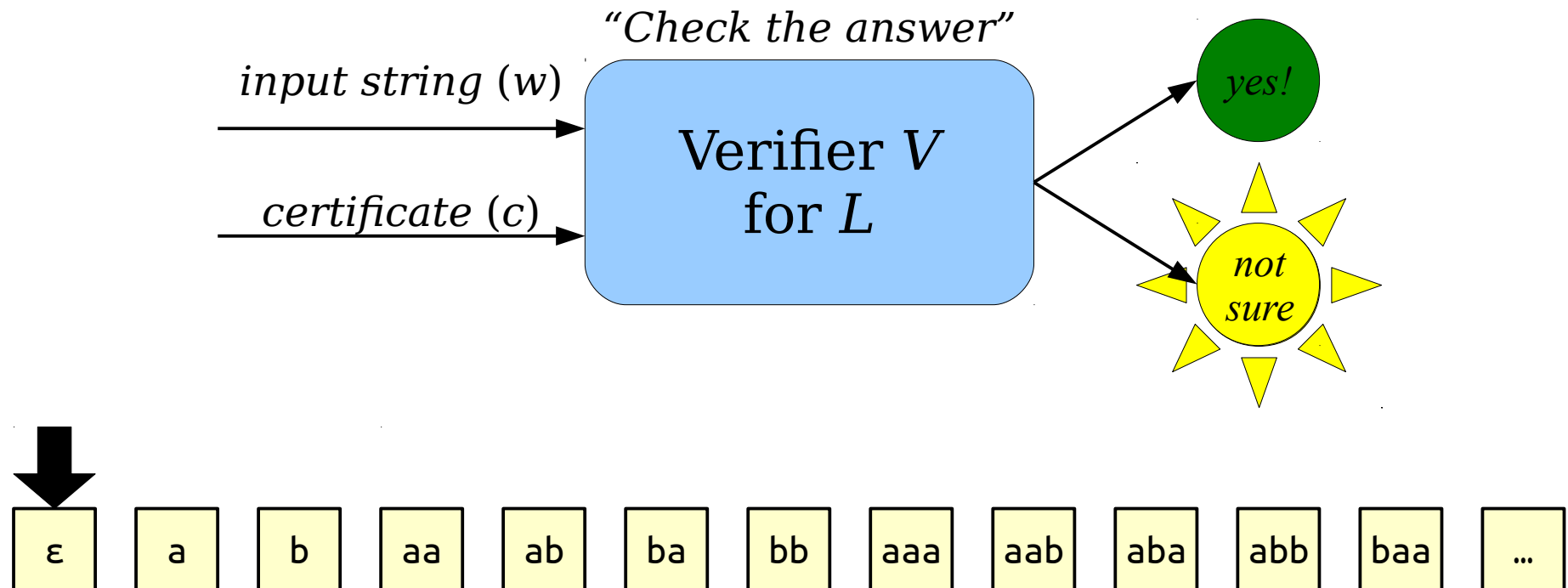
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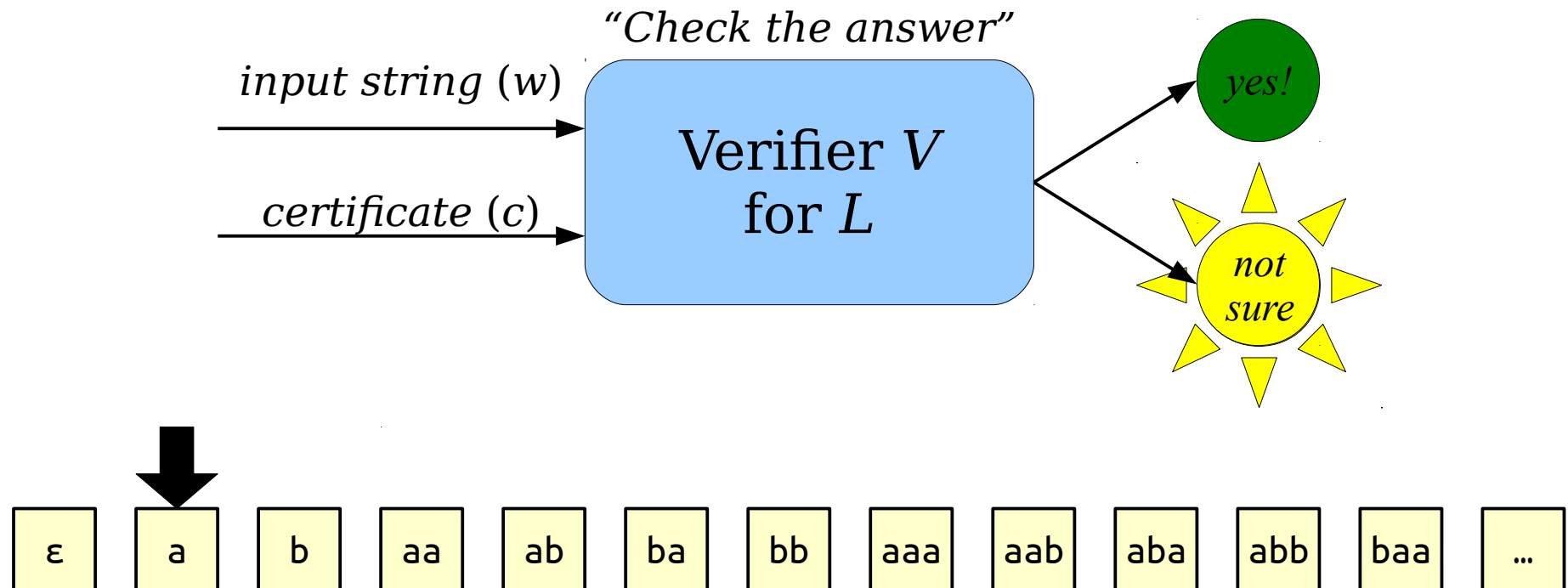
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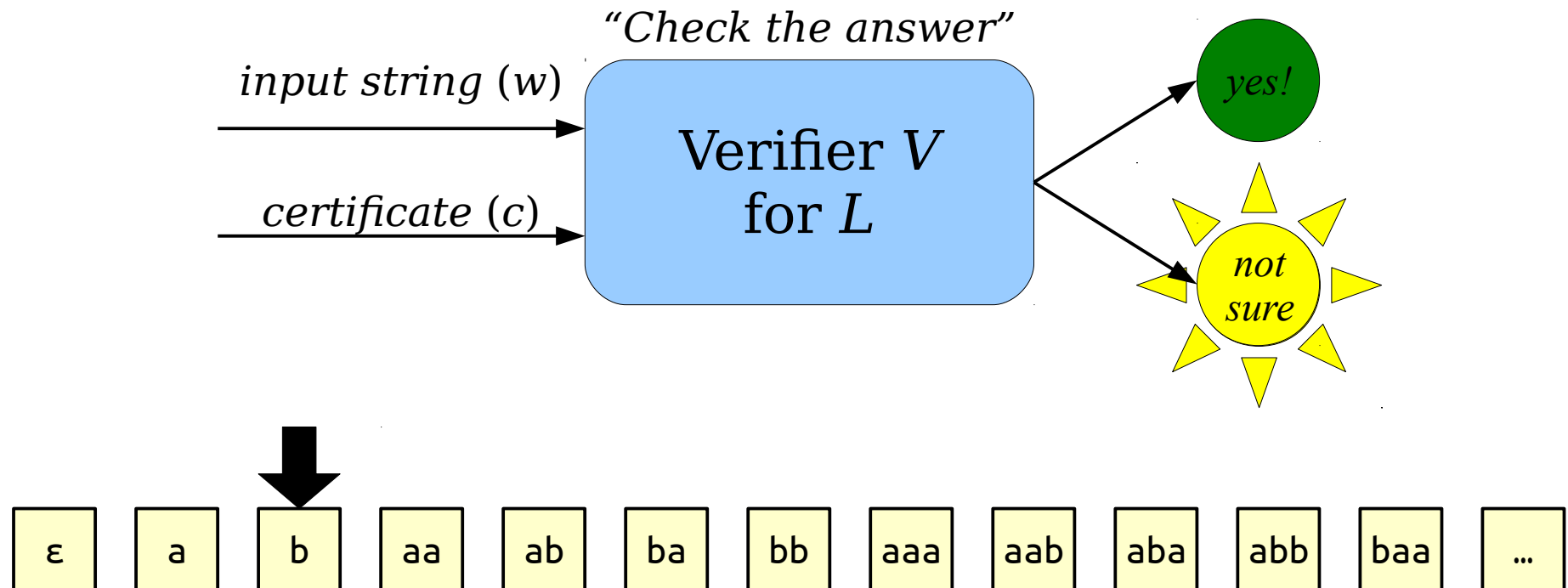
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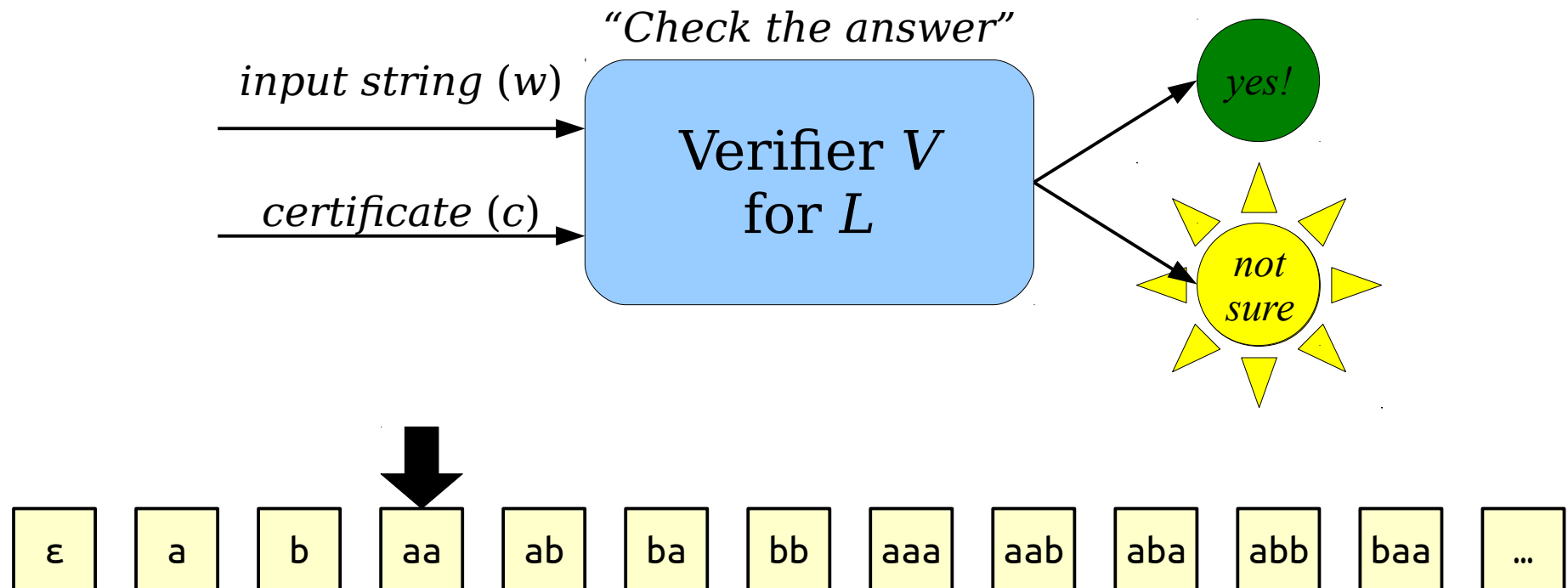
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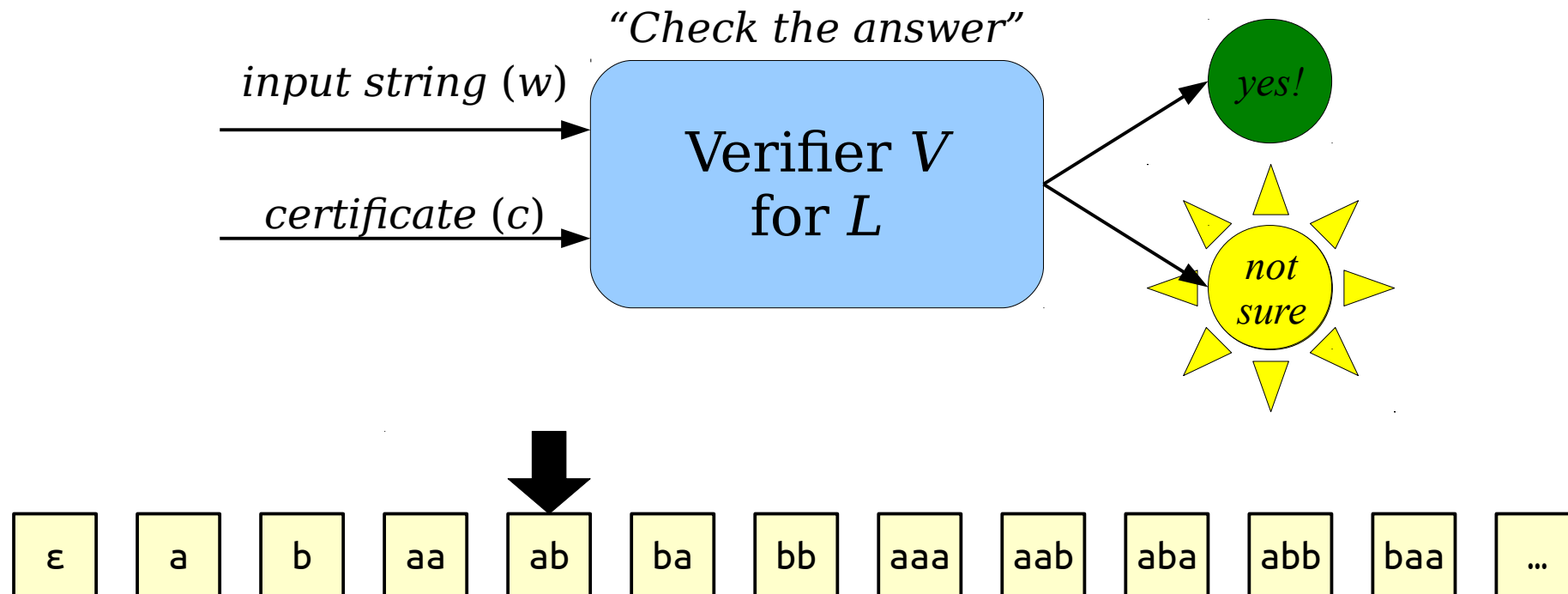
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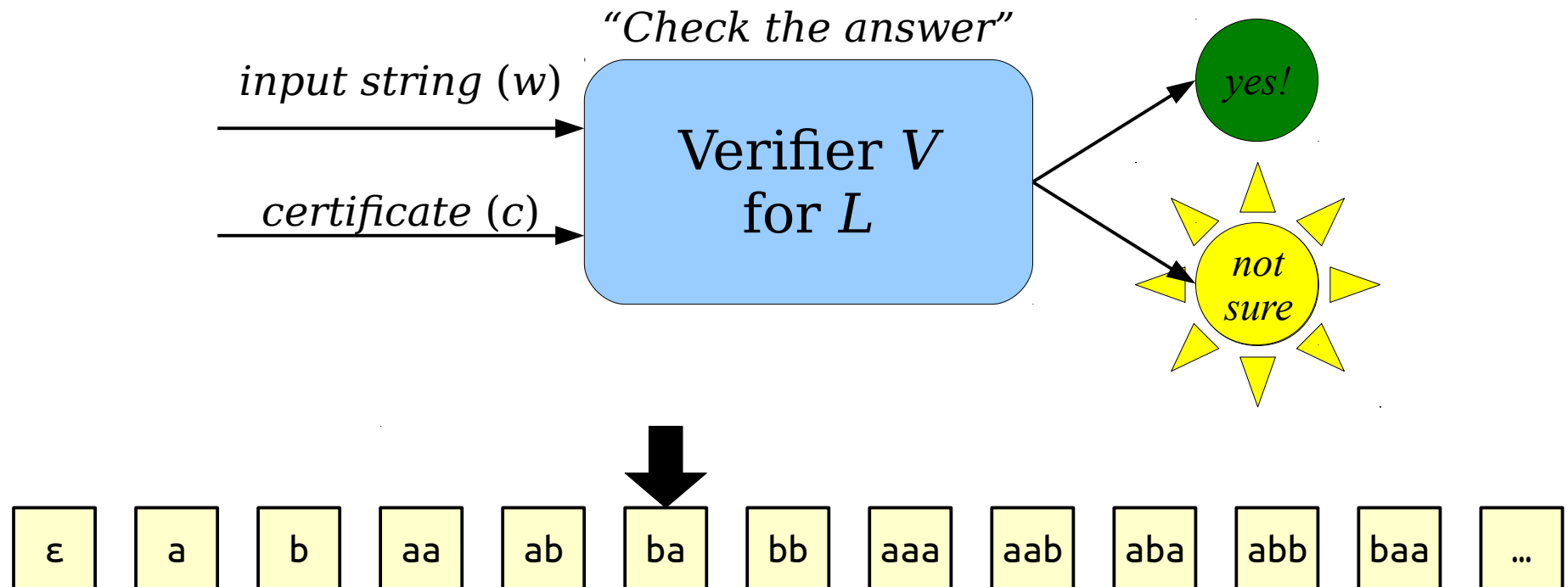
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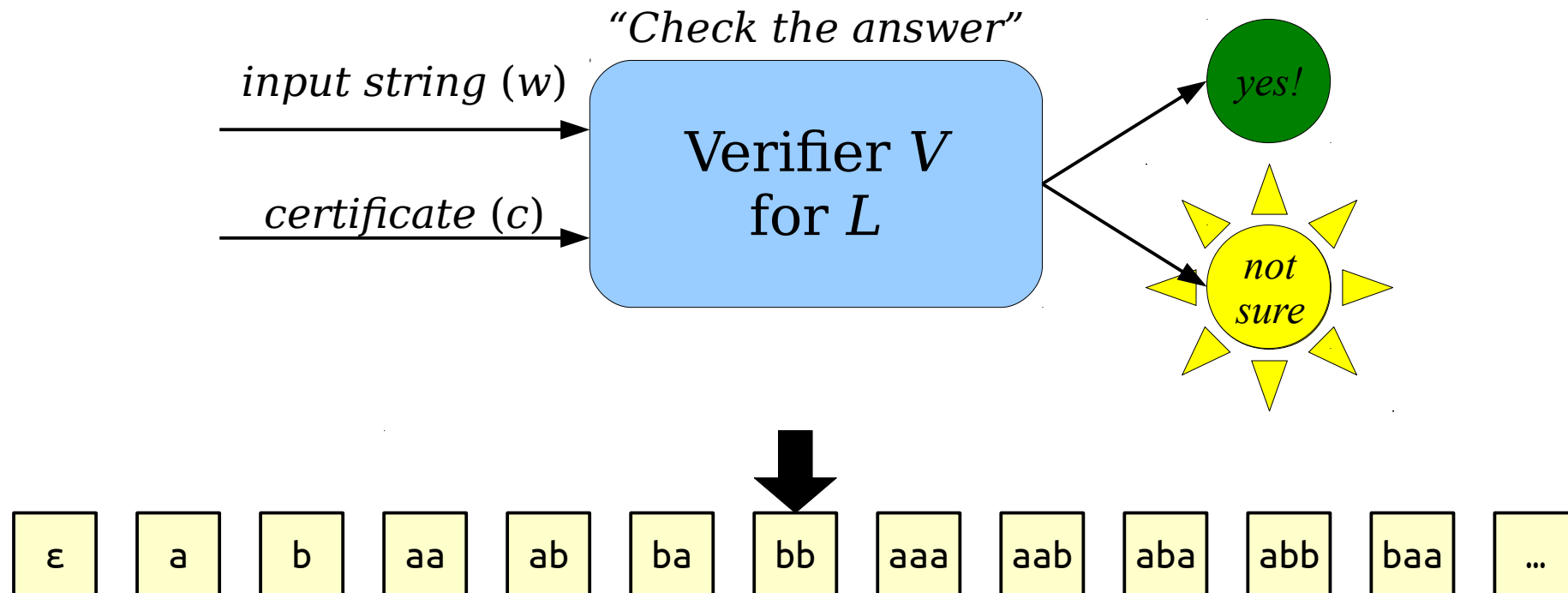
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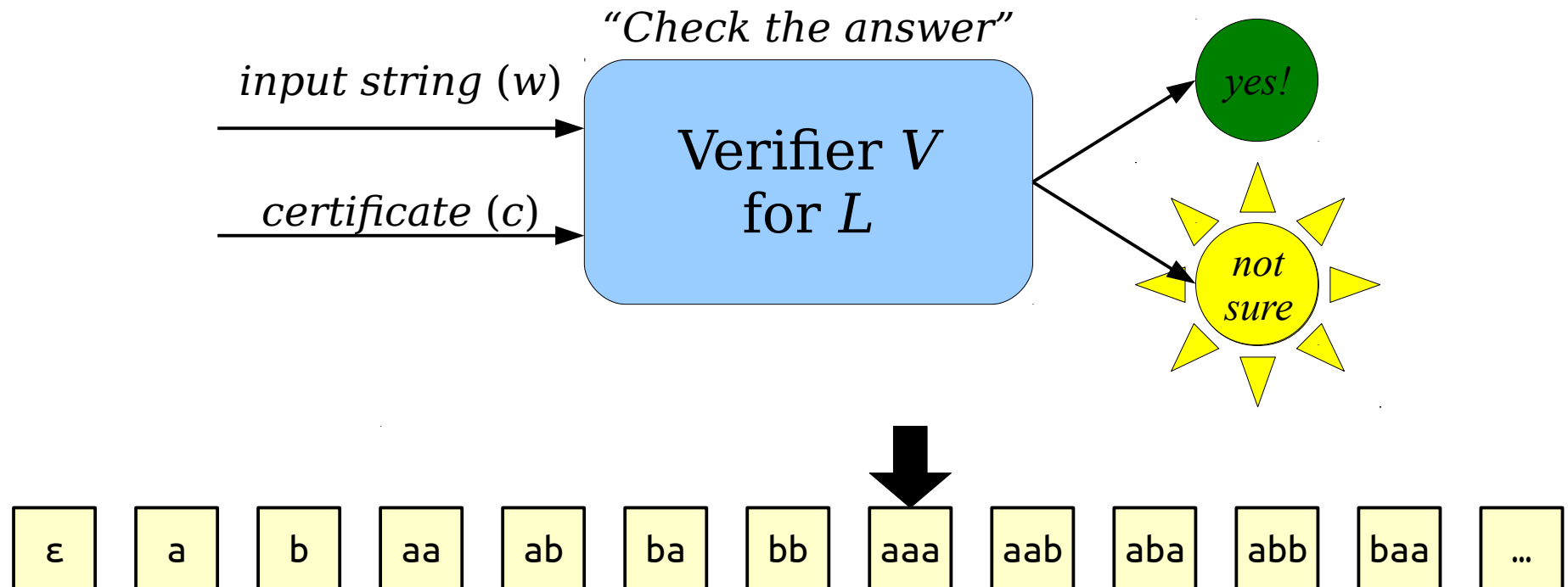
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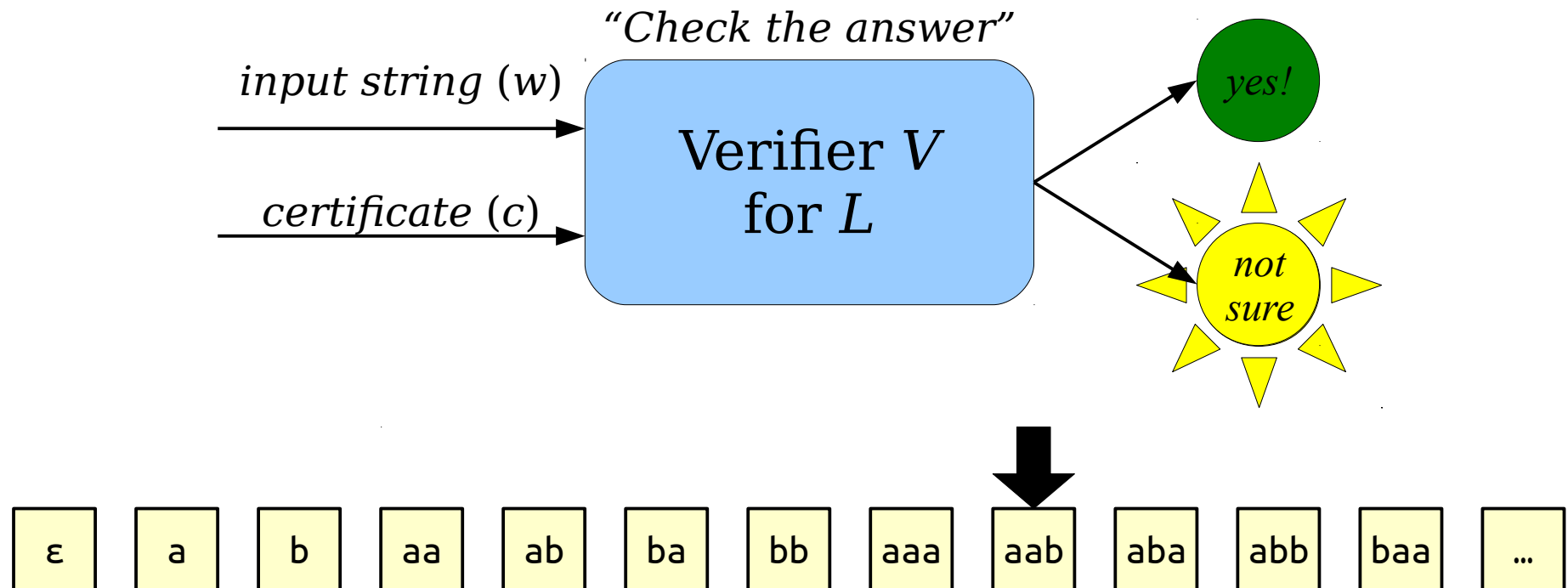
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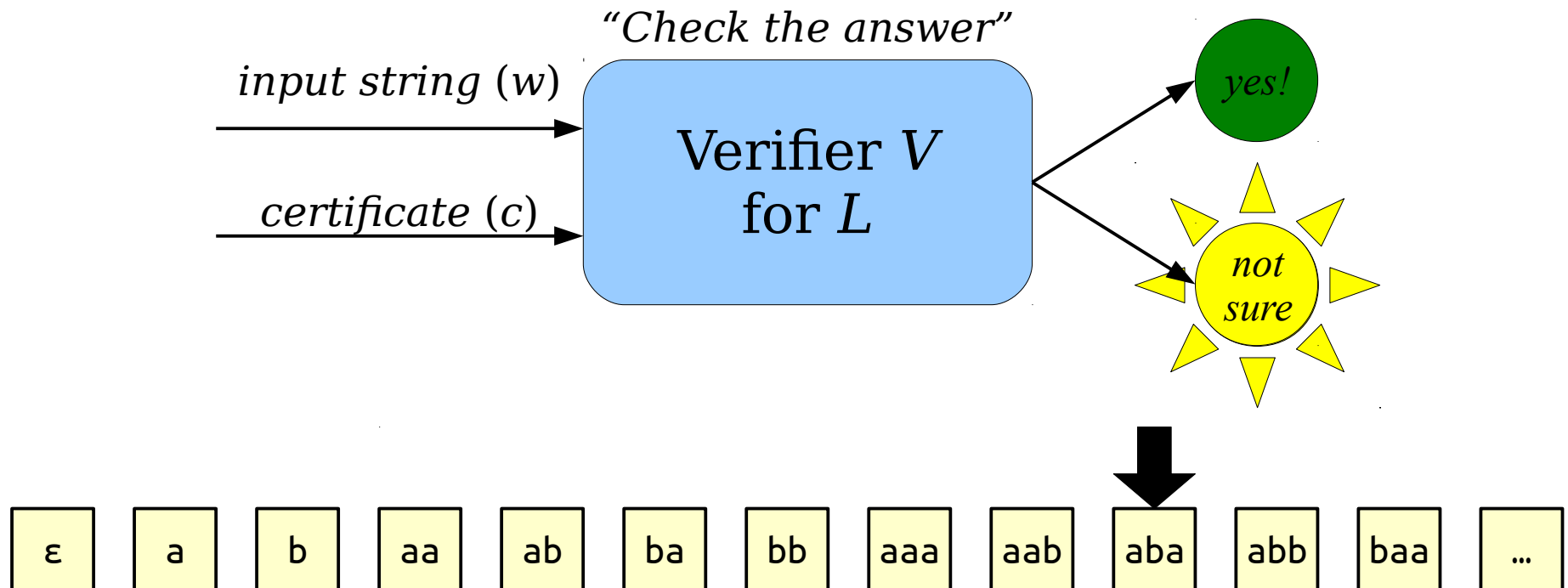
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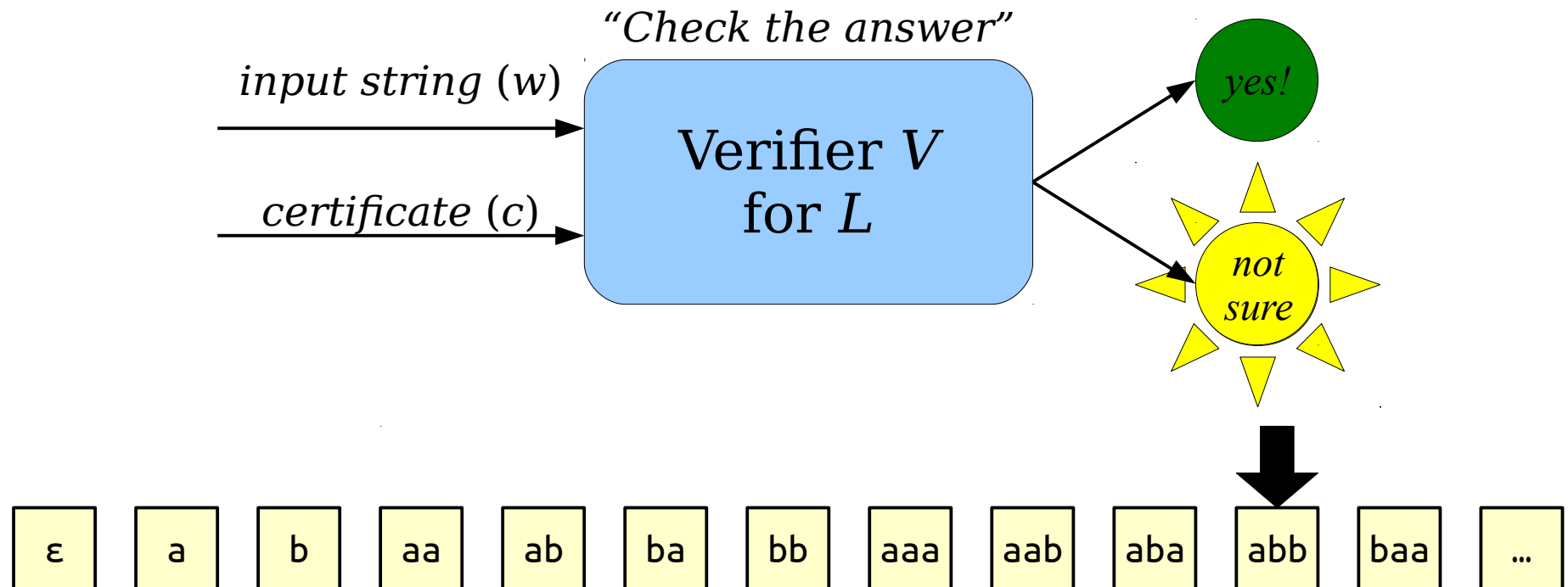
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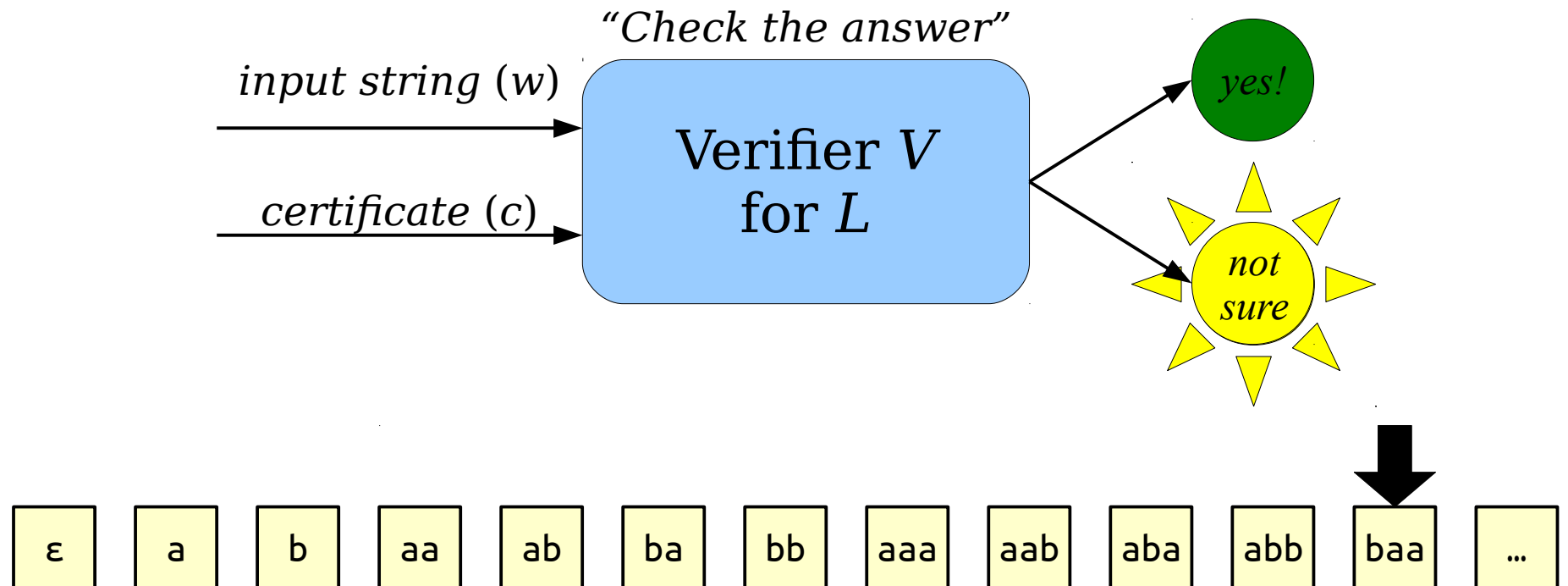
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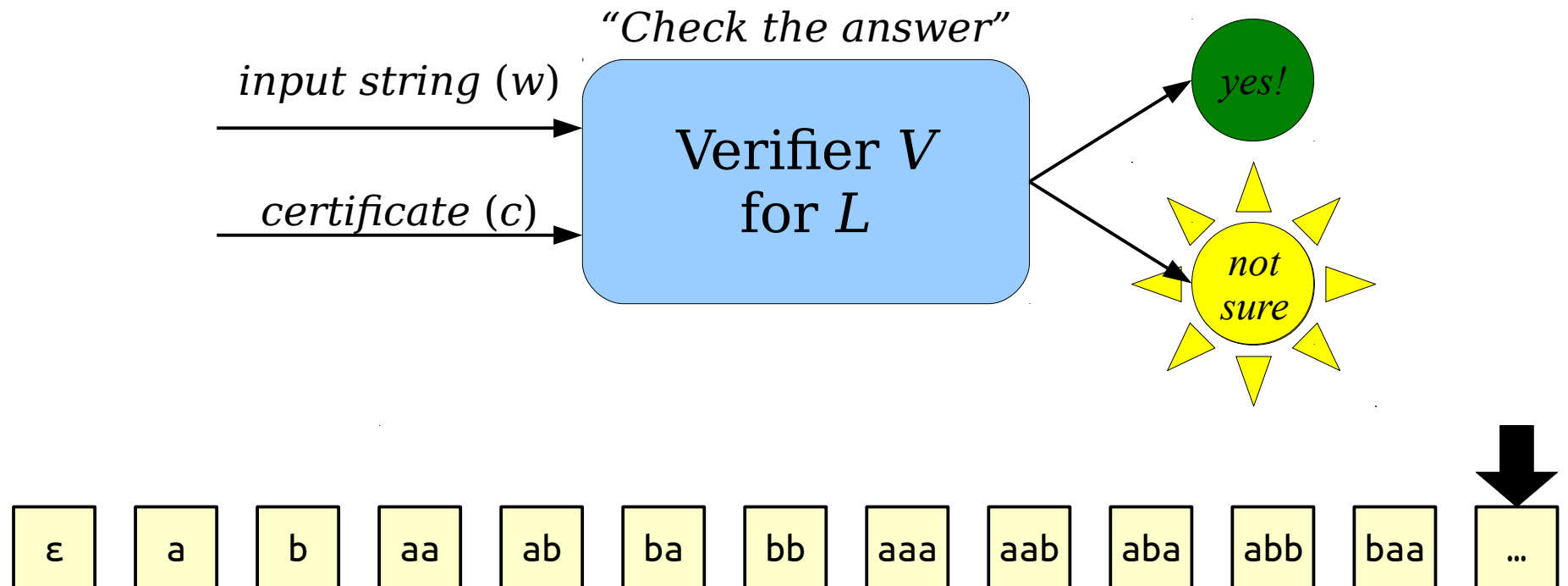
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Verifiers and **RE**

- **Theorem:** If there is a verifier V for a language L , then $L \in \mathbf{RE}$.
- **Proof goal:** Given a verifier V for a language L , find a way to construct a recognizer M for L .



Verifiers and **RE**

- **Theorem:** If V is a verifier for L , then $L \in \mathbf{RE}$.
- **Proof sketch:** Consider the following program:

```
bool isInL(string w) {  
    for (each string c) {  
        if (V accepts  $\langle w, c \rangle$ ) return true;  
    }  
}
```

If $w \in L$, there is some $c \in \Sigma^*$ where V accepts $\langle w, c \rangle$. The function `isInL` tries all possible strings as certificates, so it will eventually find c (or some other working certificate), see V accept $\langle w, c \rangle$, then return true. Conversely, if `isInL(w)` returns true, then there was some string c such that V accepted $\langle w, c \rangle$, so we see that $w \in L$. ■

Verifiers and **RE**

- **Theorem:** If $L \in \mathbf{RE}$, then there is a verifier for L .
- **Proof goal:** Beginning with a recognizer M for the language L , show how to construct a verifier V for L .

We have a recognizer for a language.
We want to turn it into a verifier.
Where did we see this before?

Some Verified

Observation: This trick of enforcing a step count limits how long M can run for!

Consider A_{TM} :

$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$

```
bool checkWillAccept(TM M, string w, int c) {  
    set up a simulation of M running on w;  
    for (int i = 0; i < c; i++) {  
        simulate the next step of M running on w;  
    }  
    return whether M is in an accepting state;  
}
```

Do you see why M accepts w iff there is some c such that $\text{checkWillAccept}(M, w, c)$ returns true?

Do you see why checkWillAccept always halts?

Verifiers and RE

- **Theorem:** If $L \in \mathbf{RE}$, then there is a verifier for L .
- **Proof sketch:** Let L be a **RE** language and let M be a recognizer for it. Consider this function:

```
bool checkIsInL(string w, int c) {  
    TM M = /* hardcoded version of a recognizer for L */;  
    set up a simulation of M running on w;  
    for (int i = 0; i < c; i++) {  
        simulate the next step of M running on w;  
    }  
    return whether M is in an accepting state;  
}
```

Note that `checkIsInL` always halts, since each step takes only finite time to complete. Next, notice that if there is a c where `checkIsInL(w, c)` returns true, then M accepted w after running for c steps, so $w \in L$. Conversely, if $w \in L$, then M accepts w after some number of steps (call that number c). Then `checkIsInL(w, c)` will run M on w for c steps, watch M accept w , then return true. ■

RE and Proofs

- Verifiers and recognizers give two different perspectives on the “proof” intuition for **RE**.
- Verifiers are explicitly built to check proofs that strings are in the language.
 - If you know that some string w belongs to the language and you have the proof of it, you can convince someone else that $w \in L$.
- You can think of a recognizer as a device that “searches” for a proof that $w \in L$.
 - If it finds it, great!
 - If not, it might loop forever.

RE and Proofs

- If the **RE** languages represent languages where membership can be proven, what does a non-**RE** language look like?
- Intuitively, a language is *not* in **RE** if there is no general way to prove that a given string $w \in L$ actually belongs to L .
- In other words, even if you knew that a string was in the language, you may never be able to convince anyone of it!

Finding Non-**RE** Languages

Finding Non-**RE** Languages

- Right now, we know that non-**RE** languages exist, but we have no idea what they look like.
- How might we find one?

Recognizers and Recognizability

- **Recall:** We say that M is a recognizer for L if the following is true:

$$\forall w \in \Sigma^*. (w \in L \leftrightarrow M \text{ accepts } w).$$

- This above description applies to all strings, including strings that, by pure coincidence, happen to be encodings of TMs.
- What happens if we list off all Turing machines, looking at how those TMs behave given other TMs as input?

M_0

M_1

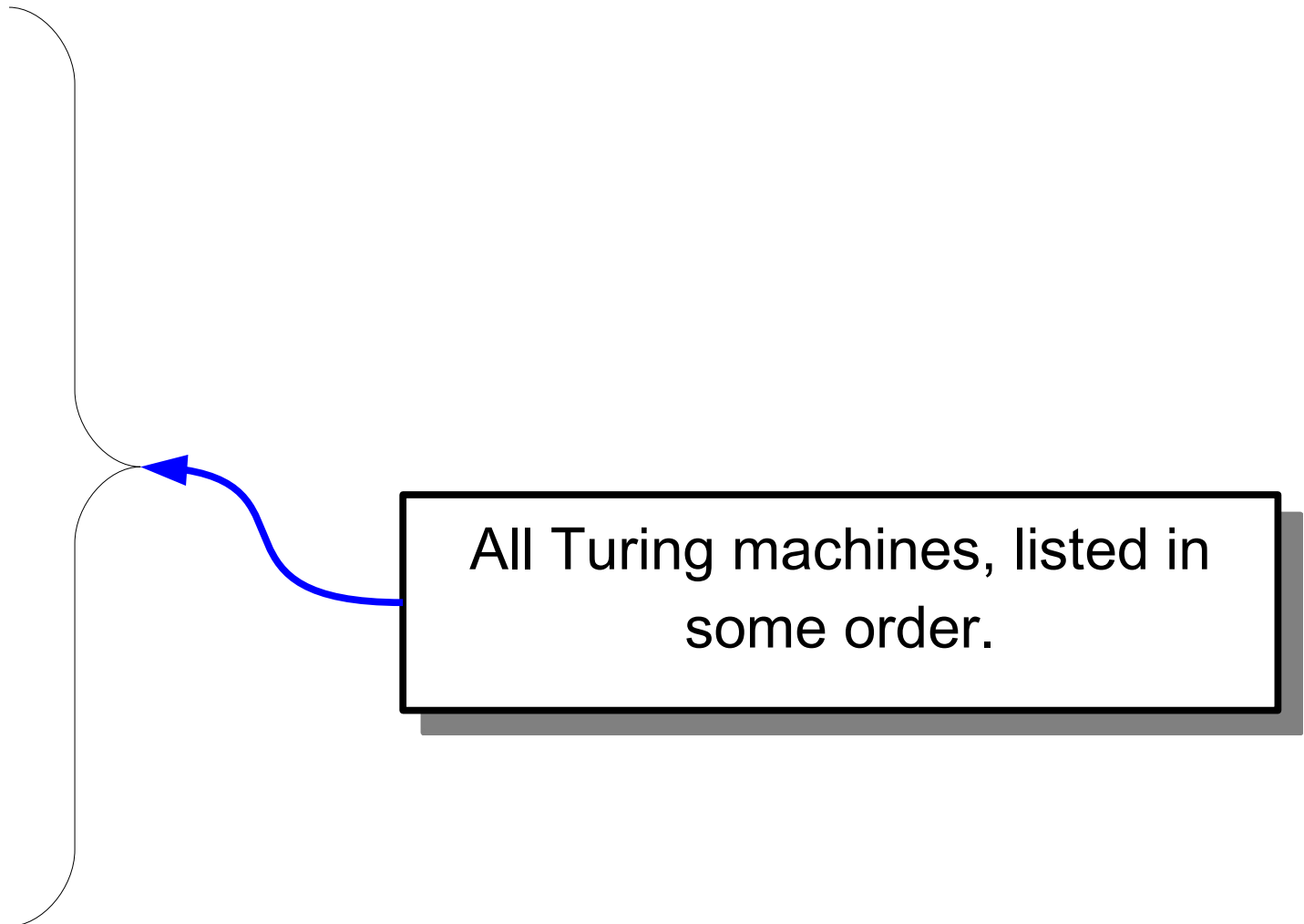
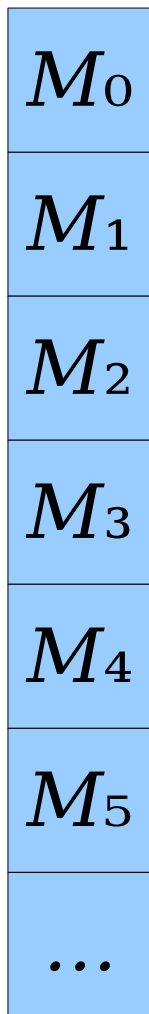
M_2

M_3

M_4

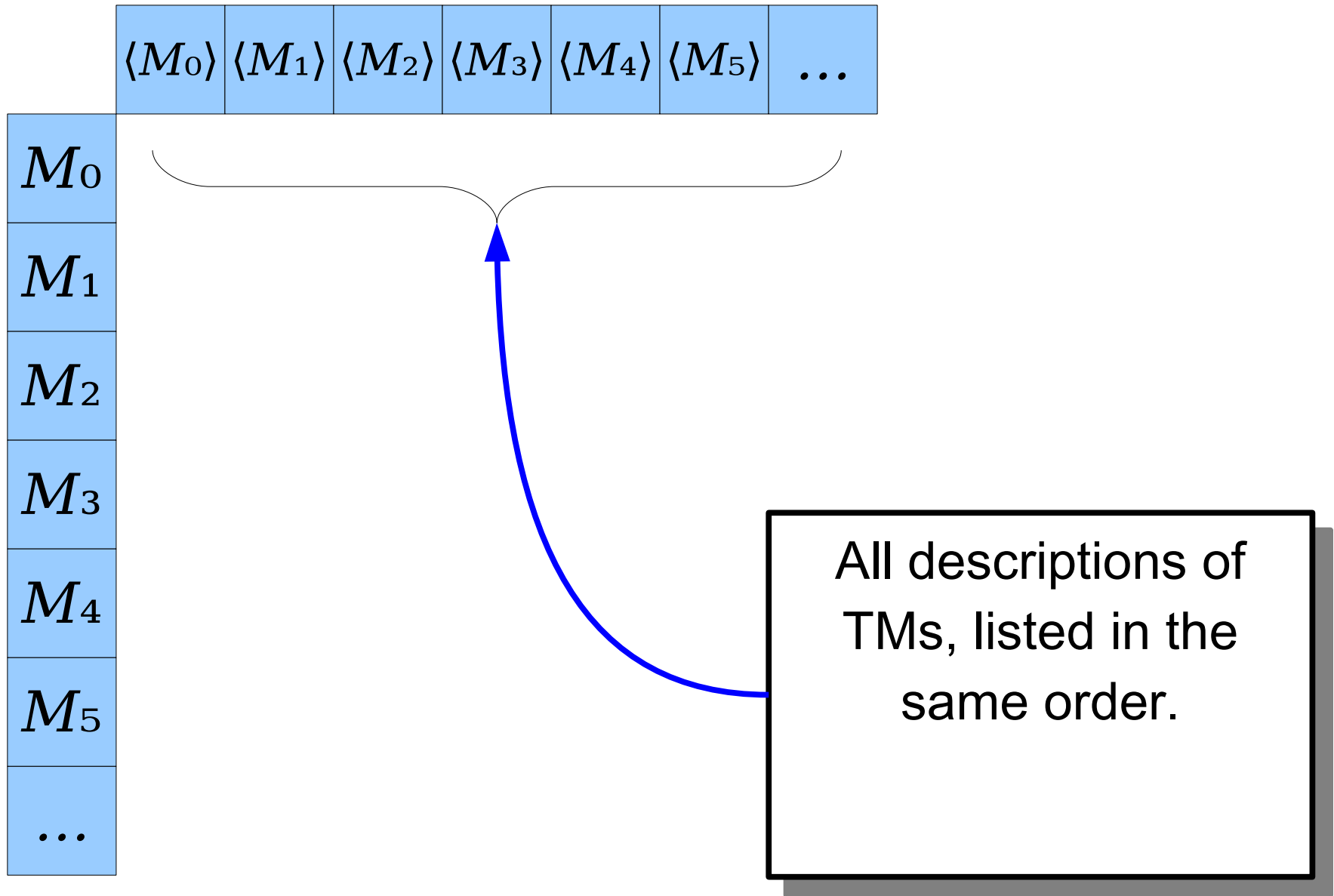
M_5

...



$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\dots$
-----------------------	-----------------------	-----------------------	-----------------------	-----------------------	-----------------------	---------

M_0
M_1
M_2
M_3
M_4
M_5
$\dots$



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\dots$
M_0	Acc	No	No	Acc	Acc	No	$\dots$
M_1							
M_2							
M_3							
M_4							
M_5							
$\dots$							

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\dots$
M_0	Acc	No	No	Acc	Acc	No	$\dots$
M_1	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
M_2							
M_3							
M_4							
M_5							
$\dots$							

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M_0	Acc	No	No	Acc	Acc	No	$\dots$
M_1	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
M_2	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
M_3							
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M_3	No	Acc	Acc	No	Acc	Acc	$\dots$
M_4							
M_5							
$\dots$							

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M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5							
...							

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M_1	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
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M_3	No	Acc	Acc	No	Acc	Acc	$\dots$
M_4	Acc	No	Acc	No	Acc	No	$\dots$
M_5	No	No	Acc	Acc	No	No	$\dots$
$\dots$							

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Acc	Acc	Acc	No	Acc	No	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Flip all "accept" to
"no" and vice-versa

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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What TM has this behavior?



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\dots$
M_0	Acc	No	No	Acc	Acc	No	$\dots$
M_1	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
M_2	Acc	Acc	Acc	Acc	Acc	Acc	$\dots$
M_3	No	Acc	Acc	No	Acc	Acc	$\dots$
M_4	Acc	No	Acc	No	Acc	No	$\dots$
M_5	No	No	Acc	Acc	No	No	$\dots$
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$	$\dots$

No	No	No	Acc	No	Acc	$\dots$
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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No TM has this
behavior!

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

“The language of all TMs that do not accept their descriptions.”

No	No	No	Acc	No	Acc	...
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	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
M_0	Acc	No	No	Acc	Acc	No	...
M_1	Acc	Acc	Acc	Acc	Acc	Acc	...
M_2	Acc	Acc	Acc	Acc	Acc	Acc	...
M_3	No	Acc	Acc	No	Acc	Acc	...
M_4	Acc	No	Acc	No	Acc	No	...
M_5	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

$\{ \langle M \rangle \mid M \text{ is a TM that does not accept } \langle M \rangle \}$

No	No	No	Acc	No	Acc	...
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Diagonalization Revisited

- The ***diagonalization language***, which we denote L_D , is defined as

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$$

- We constructed this language to be different from the language of every TM.
- Therefore, $L_D \notin \mathbf{RE}$! Let's go prove this.

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } M \text{ does not accept } \langle M \rangle \}$$

Theorem: $L_D \notin \mathbf{RE}$.

Proof: Assume for the sake of contradiction that $L_D \in \mathbf{RE}$. This means that there is a recognizer R for L_D .

Now, focus on what happens if we run recognizer R on its own string encoding (that is, running R on $\langle R \rangle$). Since R is a recognizer for L_D , we see that

$$R \text{ accepts } \langle R \rangle \quad \text{if and only if} \quad \langle R \rangle \in L_D.$$

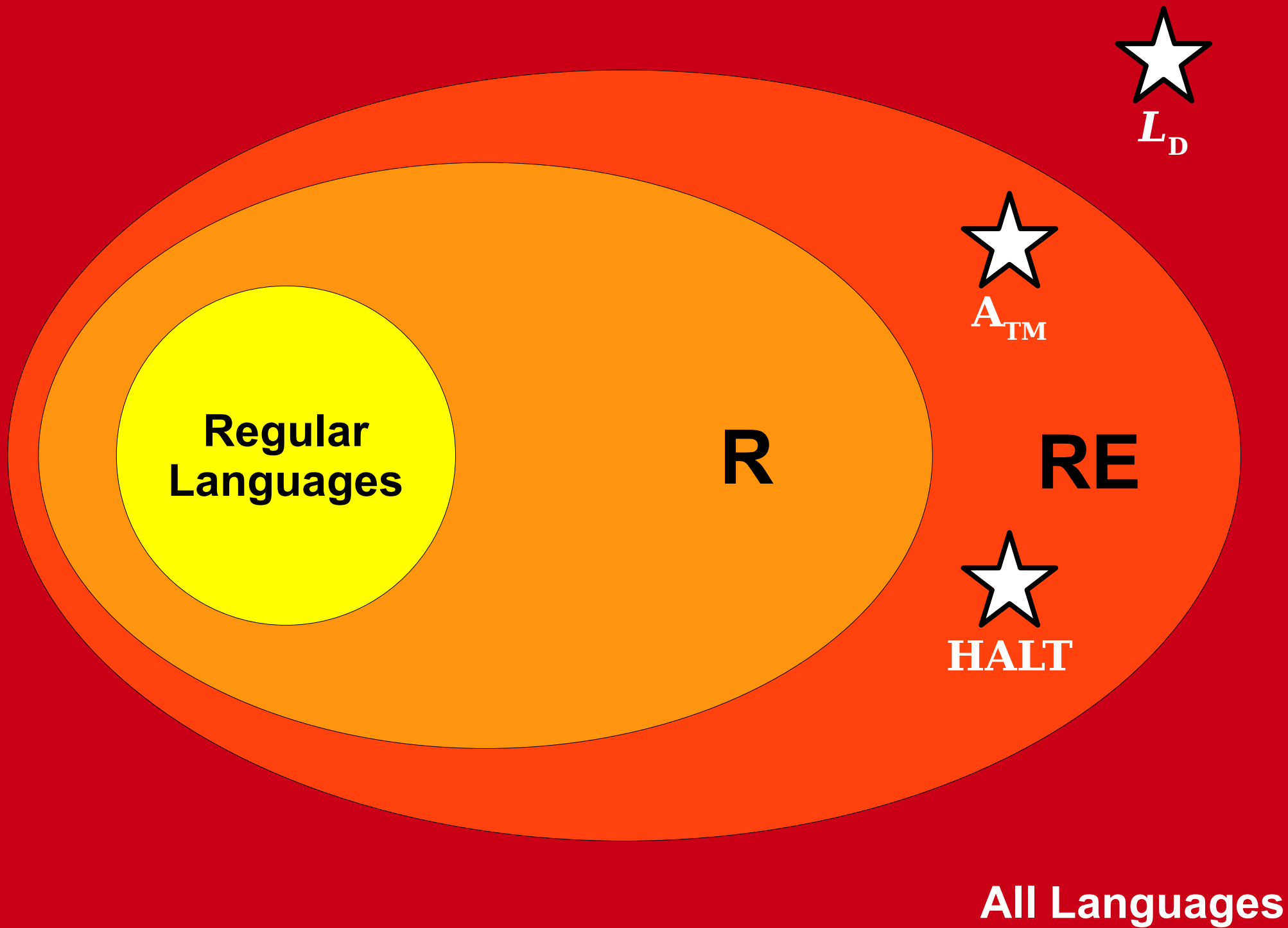
By definition of L_D , we know that

$$\langle R \rangle \in L_D \quad \text{if and only if} \quad R \text{ does not accept } \langle R \rangle.$$

Combining the two above statements tells us that

$$R \text{ accepts } \langle R \rangle \quad \text{if and only if} \quad R \text{ does not accept } \langle R \rangle.$$

This is impossible. We've reached a contradiction, so our assumption was wrong, and so $L_D \notin \mathbf{RE}$. ■



What This Means

- On a deeper philosophical level, the fact that non-**RE** languages exist supports the following claim:

There are statements that are true but not provable.

- Intuitively, given any non-**RE** language, there will be some string in the language that *cannot* be proven to be in the language.
- This result can be formalized as a result called ***Gödel's incompleteness theorem***, one of the most important mathematical results of all time.
- Want to learn more? Take Phil 152 or CS154!

What This Means

- On a more philosophical note, you could interpret the previous result in the following way:

There are inherent limits about what mathematics can teach us.

- There's no automatic way to do math. There are true statements that we can't prove.
- That doesn't mean that mathematics is worthless. It just means that we need to temper our expectations about it.

***There are more problems to
solve than there are programs
capable of solving them.***

There is so much more to explore and so many big questions to ask – ***many of which haven't been asked yet!***

What We Didn't Get To

- Complexity Theory:

*What problems can be solved **efficiently** by computers?*

- **R** vs. **P**

- **R**: Problems that can be solved by computers.
- **P**: Problems that can be solved **efficiently** by computers.

- **RE** vs. **NP**

- **RE**: Problems where “yes” answers can be verified by computers.
- **NP**: Problems where “yes” answers can be verified **efficiently** by computers.

- What does **efficiently** even mean...

What We Didn't Get To

- We found out in previous lecture that **$\mathbf{R} \neq \mathbf{RE}$** .
- **$\mathbf{P} \stackrel{?}{=} \mathbf{NP}$** remains to be one of the most well-known open problems!
- You can check out the slides from another quarter if you want to learn more!
 - Complexity Theory, Part 1 (Spring 2025)
 - Complexity Theory, Part 2 (Spring 2025)

Our questions to you:

What problems will you ***choose*** to solve?
Why do those problems matter to you?
And how are you going to solve them?